HHS Disaster Behavioral Health
Concept of Operations

February 2014
References

- National Response Framework (NRF)
- National Incident Management System (NIMS)
- National Health Security Strategy (NHSS)
- National Disaster Recovery Framework (NDRF)
- HHS Concept of Operations for Response (draft)
- Incident Response Coordination Team (IRCT) Field Operations Guide (FOG), September 2011

Record of Changes

*HHS Disaster Behavioral Health Concept of Operations*, U.S. Department of Health and Human Services, Office of the Assistant Secretary for Preparedness and Response

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*This document will be reviewed and revised as needed to ensure that current planning reflects lessons learned from recent response and recovery experiences, current best practice, and pertinent scientific evidence.*

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I. Introduction

Disaster behavioral health is discussed, the purpose of the CONOPS is reviewed, the authorities for the document are referenced, and key disaster behavioral health actions are included in a checklist.

II. Assumptions and Priorities

A listing of the assumptions and priorities regarding the behavioral health effects of disaster are presented.

III. Roles and Responsibilities

The general roles and responsibilities of federal agencies and other partners in disaster behavioral health preparedness, response, and recovery are addressed.

IV. Preparedness

HHS and HHS partner roles in disaster behavioral health preparedness are described in detail, with related preparedness concerns addressed in overview.

V. Response

Disaster behavioral health activation and response activities are described as part of the overall federal public health and medical response to disaster.

VI. Recovery

Disaster behavioral health actions regarding disaster recovery, and their role in the Health and Social Services Recovery Support Function, are discussed.

Appendices

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# I. Introduction

The Introduction Section includes:

- **CONCEPT OF OPERATIONS**—a description of the Disaster Behavioral Health Concept of Operations;
- **DISASTER BEHAVIORAL HEALTH**—a discussion to frame the scope of disaster behavioral health;
- **PURPOSE**—a review of the purpose of the Disaster Behavioral Health Concept of Operations; and
- **AUTHORITIES**—a citation of the authority upon which the document is based.

## A. Concept of Operations

This Concept of Operations plan (CONOPS) describes the conceptual framework and coordination for U.S. Department of Health and Human Services (HHS) federal-level behavioral health preparedness, response, and recovery for disasters and public health emergencies. The plan describes how HHS prepares for the behavioral health effects of a public health and medical emergency or disaster and transitions from normal day-to-day operations to coordinated department-wide response and recovery activities.

The CONOPS is consistent with the National Preparedness Goal (NPG), the National Response Framework (NRF), and the National Disaster Recovery Framework (NDRF). It supports the goals and objectives of the National Health Security Strategy (NHSS). The document explains how the Assistant Secretary for Preparedness and Response (ASPR) coordinates HHS-wide response and recovery activities on behalf of the Secretary in concert with the specific authorities and responsibilities of HHS Operating Divisions (OPDIVs), and HHS Staff Divisions (STAFFDIVs). The document intentionally differs from traditional CONOPS format and includes conceptual language to frame disaster behavioral health in addition to discussion of federal disaster behavioral health operational response and recovery. A main aim of this CONOPS is to improve coordination of federal preparedness, response, and recovery efforts concerning behavioral health in a manner consistent with—and supportive of—state, territorial, tribal (STT) and local efforts.

## B. Disaster Behavioral Health

Disaster behavioral health² is an integral part of the overall public health and medical preparedness, response, and recovery system. It includes the interconnected psychological, emotional, cognitive, developmental, and social influences on behavior, mental health, and substance abuse, and the effect of these influences on preparedness, response, and recovery from disasters or traumatic events. Behavioral factors directly and indirectly influence individual and community risks, health, resilience, and the success of emergency response and recovery strategies and public health measures.

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¹ Throughout this document, “STT” refers to states, territories, and federally-recognized tribes.

² For more detailed information on behavioral health and at-risk individuals please refer to the materials in Appendix D, Web Resources.
This CONOPS adopts a broad view of disaster behavioral health encompassing interventions to address the behavioral health and stress-related needs of disaster survivors and responders; the behavioral implications of risk communication and messaging; surveillance, triage, and assessment; behavioral health promotion; social connectedness; research; and the needs of people with pre-existing behavioral health conditions and the systems that serve them.

During and after an emergency event, it is common for affected people—including response workers—to experience distress and anxiety about safety, health, and recovery, as well as grief and loss. Many people are to some degree personally prepared for an emergency. They have access to pre-existing support systems that contribute to their own and their community’s resilience. The majority of people who experience a disaster are likely to psychologically recover without formal behavioral health intervention. However, these protective factors vary, as do the nature of the event and the level of exposure experienced by individuals, families, and communities. As a result of this, some people may experience more severe behavioral health reactions that hinder their recovery. In a smaller subset of people, psychological conditions or substance use/abuse may develop or worsen if not addressed. Therefore, disaster behavioral health aims to provide a continuum of services and activities—including communication, education, basic support, as well as access to clinical behavioral health services when needed—in order to mitigate the progression of adverse reactions into more serious physical and behavioral health conditions.

Disaster behavioral health actions during the **preparedness** phase primarily focus on planning, training, and exercising public health capabilities that mitigate the behavioral health impacts of disaster. Plans that strengthen pre-existing systems, build on the daily delivery of health and behavioral health care, and address reimbursement requirements are essential to effective disaster response. Disaster behavioral health actions during the **response** period often focus on supportive, strengths-based interventions such as psychological first aid, crisis counseling, risk communication, and response worker support. These interventions may be provided by behavioral health professionals, but are often also provided by paraprofessionals, other health workers, volunteers, and laypeople who have received training in basic disaster behavioral health support. Behavioral health concerns often emerge or evolve in the longer-term **recovery** period, including the potential that additional individuals may develop reactions that require behavioral health care and treatment.

Some individuals or populations may be at higher risk for more severe reactions. Children, in particular, can be vulnerable to the behavioral health impact of public health emergencies and disasters as they may lack the experience, skills, and resources to independently meet their own behavioral health needs. Caregivers and educators affected by the disaster also must deal with the stressors on their own behavioral health which can impact the behavioral health of the children under their care. People with pre-existing behavioral health conditions or past traumatic exposure may be at greater risk for exacerbation of symptoms or relapse. Individuals with severe pre-existing behavioral health conditions who rely on the behavioral health care infrastructure to aid their well-being and independence may be greatly affected by damage to that infrastructure. Also of concern is the safety and well-being of at-risk individuals with access and functional needs. For planning purposes, access and functional needs can be categorized according to five domains: Communication, Maintaining health/medical, Independence, Support/Supervision, and Transportation (known by the acronym C-MIST). Examples of individuals or populations at risk include children, senior citizens, pregnant women, people with disabilities, the economically disadvantaged, racial and ethnic
minorities, people with pre-existing behavioral health conditions, or people with limited English proficiency.

Trauma, violence, and witnessing violence can also be determinants of behavioral health problems, and groups such as children, senior citizens, pregnant women, and people with disabilities can be at higher risk for these concerns. If these issues are not appropriately addressed by trauma informed behavioral health care they may accumulate and compound resulting in further deterioration of behavioral health following a disaster.

Behavioral health is also concerned with influences on decision making in an affected population. Disaster behavioral health practitioners and approaches can inform risk communication and public health messaging to address anxiety, encourage people to follow public health measures, and prevent misinformation from gaining credibility. Before, during, and after a public health emergency or disaster, behavioral health promotion activities can enhance individual and community resilience. Population surveillance systems, as well as individual and community assessment strategies, can provide valuable information concerning risks and protective factors affecting recovery. Engaged research can identify longer-term trends to guide future preparedness efforts. Efforts to bolster personal and family psychological resilience and social connectedness aim to mitigate adverse reactions and improve recovery trajectories at both the individual and community levels.

C. Purpose of the CONOPS
The CONOPS provides a conceptual framework for federal-level coordination of disaster behavioral health activities and informs behavioral health preparedness, response, and recovery efforts. Although this CONOPS focuses on federal-level operations, it is important to note that the vast majority of disaster behavioral health assets—and preparedness, response, and recovery activities—operate at STT and local levels. Significant support comes from an intricately woven system of voluntary organizations, government, academia, and behavioral health care and professional organizations. The role of federal disaster behavioral health activities is to collaborate with these entities to promote preparedness that is integrated into larger public health and medical response and recovery efforts, to supplement local response activities based on STT-defined behavioral health needs, and to partner in longer-term recovery to promote individual and community resilience.

The Disaster Behavioral Health CONOPS is intended to support, and not replace or supersede, existing agency authorities or national disaster frameworks. It is understood that many HHS OPDIVs, STAFFDIVs, and interagency partners have their own responsibilities and carry out behavioral health activities based on their own authorities. HHS uses this CONOPS to coordinate federal behavioral health response and recovery activity whenever the Secretary determines that there is a need for a coordinated department-wide response or recovery (whether managed through the NRF, NDRF, or other authorities). Key federal disaster behavioral health actions are listed in Table 1. An in depth discussion of actions is found in the Preparedness, Response, and Recovery sections of this CONOPS.

D. Authorities
Activities performed under this CONOPS will be carried out in accordance with applicable laws, regulations, and Departmental policies. The primary statutory authority for the Disaster Behavioral Health CONOPS is the Public Health Service (PHS) Act, though other laws may provide authority as well.
### PREPAREDNESS

<table>
<thead>
<tr>
<th>Action</th>
<th>Leads</th>
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<tbody>
<tr>
<td>□ Convene <em>Disaster Behavioral Health Preparedness Forum</em> and <em>Federal Community Health Resilience Coalition</em> to facilitate interagency collaboration and planning</td>
<td>ASPR</td>
</tr>
<tr>
<td>□ Participate in the development of <em>National disaster and emergency plans and exercises</em> to ensure behavioral health is appropriately included</td>
<td>ASPR / Federal Agencies</td>
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<tr>
<td>□ Engage in <em>behavioral health promotion</em> to enhance day-to-day mental and behavioral functioning and promote resilience following emergencies or disasters</td>
<td>SAMHSA</td>
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<tr>
<td>□ Develop and disseminate <em>behavioral health educational, messaging, and guidance materials</em></td>
<td>SAMHSA / ASPR</td>
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<tr>
<td>□ Engage in <em>Scientific Preparedness</em> activities to coordinate and catalyze the research agenda for disaster behavioral health issues</td>
<td>ASPR / NIH / CDC</td>
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### RESPONSE

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<tr>
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<tr>
<td>□ Upon imminent threat or occurrence of major disaster or public health emergency, the <em>health and medical services function</em> of the National Response Framework and the National Disaster Medical System activate</td>
<td>ASPR</td>
</tr>
<tr>
<td>□ HHS’s <em>Incident Response Coordination Team</em>, which includes a behavioral health liaison officer, stands up to coordinate and support the response</td>
<td>ASPR</td>
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<tr>
<td>□ <em>HHS agencies and response partners activate</em>; depending on the activation level, agency liaison officers may report to the Secretary Operations Center</td>
<td>ASPR / Federal Agencies</td>
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<tr>
<td>□ <em>SAMHSA contacts behavioral health agencies</em>, state disaster behavioral health coordinators, and behavioral health grantees in the impacted region to offer technical assistance and support</td>
<td>SAMHSA</td>
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<tr>
<td>□ <em>SAMHSA engages their disaster-related programs</em> to provide support, such as Crisis Counseling Program, Disaster Technical Assistance Center, and Disaster Distress Helpline</td>
<td>SAMHSA</td>
</tr>
<tr>
<td>□ <em>Federal Disaster Behavioral Health Group</em>, comprised of federal agencies with behavioral health expertise, convenes and establishes communication and information gathering channels</td>
<td>ASPR</td>
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<tr>
<td>□ If indicated, <em>behavioral health mission assignments</em> are developed and enacted to provide federal behavioral health responders to the disaster impacted region</td>
<td>ASPR / NDMS / DCCPR (PHS)</td>
</tr>
<tr>
<td>□ <em>Behavioral health force protection</em> is carried out to safeguard deployed HHS responders</td>
<td>ASPR / NDMS</td>
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<tr>
<td>□ <em>Behavioral health educational and messaging materials</em> specific to the needs of the event are disseminated through multiple mechanisms</td>
<td>ASPR / SAMHSA</td>
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<tr>
<td>□ <em>Surveillance/assessment</em> mechanisms are queried to gather behavioral health data to inform response and recovery</td>
<td>CDC / ASPR / SAMHSA</td>
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### RECOVERY

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<th>Action</th>
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<tr>
<td>□ When National Disaster Recovery Framework activation is imminent, Health &amp; Social Services <em>Recovery Support Function Primary &amp; Supporting agencies are activated</em></td>
<td>ASPR</td>
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<tr>
<td>□ <em>The Federal Disaster Behavioral Health Group transitions to recovery</em> and continues to meet in support of health and social services recovery efforts</td>
<td>ASPR</td>
</tr>
<tr>
<td>□ <em>Agencies maintain bi-directional communication through relevant agency programs</em> and grant programs to assess and address recovery needs and gaps and share information</td>
<td>ASPR / Federal Agencies</td>
</tr>
<tr>
<td>□ <em>Agencies identify behavioral health informational resources</em> related to disaster recovery/resilience and mobilize access to this information through information channels</td>
<td>ASPR / Federal Agencies</td>
</tr>
<tr>
<td>□ Agencies plan for and implement the <em>transition from recovery operations to steady-state activity</em> within their agency’s programs</td>
<td>ASPR / Federal Agencies</td>
</tr>
<tr>
<td>□ <em>Longer term responder health monitoring</em> post-event is carried out</td>
<td>CDC / ASPR</td>
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II. Assumptions and Priorities

The Assumptions and Priorities Section includes:

⇒ a listing of the assumptions and priorities regarding the behavioral health effects of disasters and actions that can be taken to address behavioral health risks.

The following assumptions and priorities apply to the behavioral health response to disasters and public health emergencies:

1. Behavioral health is an integral part of the public health and medical emergency management system, and should be fully integrated into preparedness, response, and recovery activities.

2. Disaster behavioral health includes mental health, stress, and substance abuse considerations for survivors and responders, and also addresses the behavioral health care infrastructure, persons with pre-existing serious behavioral health conditions, individual and community resilience, and risk communication and messaging.

3. Disaster behavioral health is part of a layered, multidisciplinary ensemble of preparedness, response, and recovery activities. Whole community partners—such as private for-profit and non-profit entities; public health and emergency management personnel; national, STT and community behavioral health providers; media; and non-governmental organizations, including VOADs and child caregivers/educators—play an important positive role and should be actively engaged in preparedness, response, and recovery.

4. Disaster survivors and responders directly impacted by the incident are at greater risk for developing mental health sequelae to the disaster. Active identification of this group, outreach, and delivery of appropriate support and treatment (if indicated) may mitigate the development of serious behavioral health conditions.

5. Disaster behavioral health activities, as an integral part of overall force health protection, include provision of psychological and stress protection and substance abuse prevention strategies and services to responders.

6. Interventions during disaster response and recovery should consider the culture of the community, should be based on accepted standards, founded on empirical knowledge, and delivered by trained volunteers, paraprofessionals, and professionals.

7. Individuals with pre-existing behavioral health issues will be among survivors receiving medical services.

8. Some individuals or populations may be at higher risk for more severe reactions; for example, individuals with pre-existing behavioral health conditions or past traumatic exposure and at-risk individuals with access or functional needs. Children, in particular, can be vulnerable as they may lack the experience, skills, and resources to independently meet their own behavioral health needs and their reactivity to stress reactions or behavioral health conditions of their caregivers. This vulnerability requires special planning considerations for parents in addition to the caregivers, educators, and professionals working with these children and youth.

9. Primary care providers and emergency responders delivering behavioral health support in the affected community, as well as early care and school age providers and educators, may benefit from training, technical assistance, support, and referral points for disaster behavioral health services.
10. In certain incidents, such as biologic events or terrorist incidents, emergency departments and health care facilities may experience an influx of patients with psychologically-based complaints or unexplained physical symptoms, as well as more severe behavioral health symptomology than is experienced in other natural disasters, requiring targeted preparedness and response activities.

11. In addition to disaster-related behavioral health services and grant programs, federal steady-state programs addressing behavioral health needs are leveraged—when appropriate and allowable within legal authorities—to provide access to services, bi-directional communication with response and recovery coordinators, and education.

12. Messages, information, and educational materials that specifically address behavioral health issues that may arise following a disaster are essential components of the overall public health messaging strategy. Such behavioral issues include anxiety, stress, fear, grief, the particular needs of at-risk individuals such as children, separation from pets, or increased risk of substance use/abuse. Messages should be made available in accessible, alternative, culturally-informed, and age-appropriate formats.

13. Strong coordination is needed between behavioral health and human services stakeholders as issues and needs in these two sectors can be closely associated.

14. As many behavioral health issues arise long after the response period has ended, recovery planning and activities must address current and anticipated behavioral health consequences.
III. Roles and Responsibilities

The Roles and Responsibilities Section includes:

- **GENERAL ROLES AND RESPONSIBILITIES IN PREPAREDNESS, RESPONSE, AND RECOVERY**—the general roles and responsibilities of key HHS agencies, state and local partners, and other federal departments regarding disaster and behavioral health;
- **COORDINATION WITH HUMAN SERVICES**—an overview of behavioral health and human services coordination in a disaster or an emergency;
- **CRISIS COUNSELING ASSISTANCE AND TRAINING PROGRAM (CCP)**—a brief description of the CCP grant; and
- **MASS CARE AND HOUSING**—an overview of the coordination between behavioral health and the Mass Care and Housing function in a disaster or an emergency.

HHS provides disaster behavioral health preparedness, response, and recovery support to STT and local communities through a variety of mechanisms, including emergency and disaster systems (such as NRF and NDRF sponsored efforts) as well as through the activities of OPDIVs and STAFFDIVs as part of their normal operations.

HHS may support affected jurisdictions in preparedness, response, and recovery for a variety of hazards, medical emergencies, and events with implications for public health. These include:

- Natural and man-made disasters;
- Public health and medical emergencies;
- Terrorist threats or incidents involving chemical, biological, nuclear/radiological, or large explosive devices;
- Infectious disease outbreaks and pandemics;
- National Security Special Events (e.g., G20 Summits, Presidential Inaugurations, Olympics, National Party Conventions); and
- Any other circumstance that creates an actual or potential public health or medical emergency where federal assistance may be necessary.

Federal behavioral health support typically includes the provision of technical assistance, educational resources, grant management, actions to support federal responder workforce resilience, and participation in response and recovery planning and coordination efforts at the local, STT, and national levels. Higher severity disasters or public health emergencies may include deployment of trained behavioral health responders, Federal Emergency Management Agency (FEMA) Crisis Counseling Training and Assistance Program (CCP) technical assistance, assessment and health surveillance, behavioral health messaging, and coordination of special appropriation funding. All preparedness, response, and recovery efforts are predicated on appropriate authorization and resources.

A. General Roles and Responsibilities in Preparedness

Disaster behavioral health must be integrated into plans and preparedness activities to promote effective response and recovery, build resilience, and mitigate future adverse reactions. Many HHS agencies and partners conduct steady-state programs and activities that strengthen preparedness, build resilience, and address the psychological and behavioral implications of trauma and disaster.
The Federal Disaster Behavioral Health Preparedness Forum is an interagency group convened by ASPR though the Disaster Behavioral Health CONOPS to address federal disaster behavioral health preparedness and the psychological implications of resilience.

B. General Roles and Responsibilities in Response
The NRF is a guide to how the nation conducts all-hazards response. Emergency Support Function (ESF) Annexes of the NRF classify federal resources and capabilities into the functional areas that are most frequently needed in a national response. ESF #8 covers Public Health and Medical Services, including the behavioral health needs of incident survivors and response workers. The federal ESF #8 response is led by the HHS Secretary, with activities carried out by relevant HHS components and pre-identified ESF #8 support agencies under the principal coordination of the ASPR.

This coordinated response most frequently occurs in concert with a Stafford Act Disaster Declaration. When an incident overwhelms STT resources or is expected to do so, the governor of an affected state may request federal assistance. Following a presidential emergency or major disaster declaration, the Stafford Act authorizes the federal government to provide certain financial and other disaster assistance depending on the declaration type to STT and local governments, businesses, and individuals. Outside the Stafford Act, HHS may exercise its own authorities and resources to provide certain assistance to states and other entities, including leveraging of steady-state federally-supported programs and assets.

C. General Roles and Responsibilities in Recovery
Disaster recovery is guided by the NDRF. The NDRF defines how federal agencies will effectively organize and operate to utilize existing resources to promote effective recovery and support STT and other jurisdictions affected by a disaster. HHS is the coordinating agency for the Health and Social Services (H&SS) Recovery Support Function (RSF). The overarching recovery capability as described in the National Preparedness Goal for health and social services is the ability to restore and improve health and social services systems to promote the resilience, health (including behavioral health), independence, and well-being of the whole community. Health and social services recovery is particularly attentive to the health, behavioral health, and wellness needs of response and recovery workers, children, seniors, people living with disabilities, people with functional needs, people from diverse cultural origins, people with limited English proficiency, and underserved populations. The H&SS RSF National Coordinator and ASPR Office of Emergency Management (OEM) Division of Recovery coordinate the H&SS RSF on behalf of the Secretary with activities carried out by relevant HHS components, pre-identified NDRF H&SS RSF primary agencies, and supporting organizations.

D. STT, Local, and Non-governmental Disaster Behavioral Health Systems and Assets
While this CONOPS details federal activities, STT, county, and local efforts serve to address behavioral health needs before, during, and after public health emergencies and disasters. State, territorial and local awardees receive annual funding from the ASPR Hospital Preparedness Program and the CDC Public Health Emergency Preparedness Program to build and strengthen public health and healthcare capabilities, which include behavioral health, for their jurisdictions. STT and locally-managed behavioral health assets typically consist of a coalition of response groups with varying structures and capacities, including community-based volunteer groups (e.g. Medical Reserve Corps [MRC] units, non-governmental organizations [NGOs], volunteer organizations active in disaster [VOADs], and behavioral health professional associations). A number of states have also developed behavioral
health responder capacity within their health or behavioral health departments and within their communities. These assets may be deployed prior to award of any federal grants or activation of federal response assets. States that belong to the Emergency Management Assistance Compact (EMAC) may use this mechanism to request state-to-state behavioral health support.

Many states have Disaster Behavioral Health Plans that detail how services will be provided and coordinated with ESF #6 (Mass Care, Emergency Assistance, Housing, and Human Services), ESF #8, and with STT or local emergency plans. The majority of states manage disaster behavioral health services through a State Disaster Behavioral Health Coordinator. In some states, these responsibilities may be shared by a Disaster Mental Health Coordinator and a Disaster Substance Abuse Coordinator. Typically, Coordinators work closely with state emergency management agencies and public health departments to ensure that identified behavioral health needs are seamlessly incorporated into an overall health emergency response. In tribal communities, tribally managed clinics and centers and Indian Health Service (IHS) provide behavioral health services and substance abuse assistance. IHS or tribal behavioral health leadership are often points of contact for disaster behavioral health issues.

NGOs and VOADs play a vital role in providing behavioral health and human services following emergency events. For example, the American Red Cross (Red Cross) is an ESF #8 partner with significant disaster behavioral health capacity, including headquarters staff and trained disaster mental health responders across the country in the volunteer management system (a continuously updated roster of national volunteers). Red Cross has well-defined procedures to provide disaster behavioral health support, identify behavioral health needs through triage and assessment, promote resilience and coping, and target interventions—including crisis interventions, secondary assessments, referrals, and psychoeducation. This system relies on a general corps of volunteers trained in Red Cross Psychological First Aid and on mental health professionals trained in disaster behavioral health. Red Cross, through its local chapters, also offers basic psychological first aid and resilience training for the public. Unmet needs committees or recovery planning groups that include behavioral health stakeholders, state public health entities, human service agencies, and VOADs often form following the immediate disaster response to ensure that behavioral health needs are met during recovery.

National associations and professional guilds are also significant partners in disaster behavioral health preparedness, response, and recovery. These groups include organizations such as the National Association of State Mental Health Program Directors, the National Association of State Alcohol/Drug Abuse Directors, the American Psychological Association, the American Psychiatric Association, the National Association of Social Workers, the Multi-State Disaster Behavioral Health Consortium, and many others. These entities may provide disaster behavioral health training to their members. During disaster response and recovery, they may work with their primary federal partners (such as the Substance Abuse and Mental Health Services Administration [SAMHSA]) to act as conduits for situational awareness and information exchange. Some of these entities have developed disaster behavioral health response capabilities. Academia also can be a valuable partner, providing specialized expertise and identifying scientific research that can inform planning, response, and recovery actions.
E. Other Federal Departments

In the course of a disaster or emergency response, HHS may interact with other federal departments that are ESF #8 response partners. The Department of Defense (DoD), Department of Veterans Affairs (VA), Department of Housing and Urban Development (HUD), and Department of Homeland Security (DHS) are key inter-agency federal partners in disaster behavioral health preparedness, response, and recovery. Roles and engagement do vary by phase of disaster. In preparedness activities, the VA National Center for Post Traumatic Stress Disorder, the Defense Centers of Excellence for Psychological Health and Traumatic Brain Injury, and programs such as Comprehensive Soldier Fitness provide research and education to help prevent or treat behavioral health problems among military members, veterans, and their families. Primary care providers, other medical professionals, and first responders often have additional training in behavioral health techniques through programs such as RESPECT-Mil and Psychological First Aid. Although these professionals primarily serve military beneficiaries, they often assist their entire communities in an emergency.

DoD and VA together operate hundreds of medical treatment facilities that can take on additional responsibilities during the response phase. They operate public health teams that can identify public health needs, including behavioral health issues, and assist with response. DoD transportation resources such as Army medevac helicopters and Air Force aircraft, as well as DHS Coast Guard helicopters, are available to move people out of danger zones, as was done in the responses to Hurricanes Katrina, Gustav, and Ike. Finally, the armed forces can provide logistics and security to assist survivors of disasters and facilitate their recovery.

In addition, HUD plays an important role in supporting disaster housing and serves as the Housing RSF coordinating agency under the NDRF. Through this, HUD addresses pre- and post-disaster housing issues and coordinates and facilitates the delivery of federal resources and activities to assist local and STT governments in the rehabilitation and reconstruction of destroyed and damaged housing, whenever feasible, and in the development of other new accessible, permanent housing options.

During the transition to recovery, the VA, DoD, HUD, and DHS continue to play important roles in rebuilding communities struck by disasters, thus reducing incident related stressors that can impact survivors’ behavioral health.

F. Coordination with Human Services

The ESF #8 behavioral health response and the transition from response to recovery requires close coordination with certain aspects of the ESF #6 (Mass Care, Emergency Assistance, Housing, and Human Services) response. Activities in the areas of Human Services and of Mass Care and Housing require particular attention.

Human services programs mitigate psychosocial risks and stresses—such as unemployment, loss of housing, and disintegration of neighborhoods and communities—that can lead to behavioral health illness and injury. They also work to maintain services to address the functional needs of at-risk individuals in order to promote independent living.

The Administration for Children and Families (ACF) leads the HHS ESF #6 Human Services response and administers the Disaster Case Management (DCM) program for FEMA. ACF’s Office of Human Services Emergency Preparedness and Response (ACF-OHSEPR) partners with ASPR’s Division for At-
Risk Individuals, Behavioral Health, and Community Resilience (ASPR-ABC) and ASPR’s OEM to coordinate human services preparedness, response, and recovery activities across HHS. ACF and ASPR also work closely with FEMA’s Office of Disability Integration & Coordination to forward their mission of “integrating and coordinating emergency preparedness, response and recovery for children and adults with disabilities and others with access and functional needs before, during and after a disaster.”

ACF assists with strategic leveraging of federal human services programs, including program flexibilities and waivers, establishes human services liaisons to state, regional, and national emergency operations centers, and advises on the needs of children and at-risk individuals. ACF’s Administration on Children, Youth, and Families also addresses the needs of victims of domestic violence and their children through emergency sheltering, statewide services coordination, tribal services, and administering the National Domestic Violence Hotline.

Effective HHS regional coordination in disaster human services builds on the preparedness work of ACF and HHS Administration for Community Living (ACL) Program and Regional Offices and ASPR Regional Emergency Coordinators (RECs) working with SLTTs, local governments, and grantees. In response to emergency events impacting a region, HHS regional partners (including the ACF and ACL Regional Administrators, ACF Regional Emergency Managers, and ASPR RECs) coordinate information and activity to ensure unity of effort and to leverage the strengths of different HHS components in providing a disaster human services response.

Other HHS divisions provide human services-related support, though not necessarily as part of ESF#6 response activities. The Administration on Aging (AoA) within ACL works with ACF and ASPR-ABC to address the needs of seniors and persons with disabilities. The Centers for Disease Control and Prevention (CDC) advises on human services aspects of communication, surveillance, field investigation, clinical guidance and other issues.

G. Crisis Counseling Assistance and Training Program (CCP)
The CCP is authorized under the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) and requires a presidential declaration of disaster for individual assistance. The CCP is intended for short-term behavioral health support when disaster response needs are beyond STT capacity. CCP is funded by FEMA and administered through an interagency federal partnership between FEMA and SAMHSA. The CCP consists of two grant programs, the Immediate Services Program (ISP), which is 60 days in duration, and the Regular Services Program (RSP), which is 9 months in duration. STTs are eligible to apply for CCP grants with services typically provided to the affected areas by behavioral health organizations through contracts with a state’s department of mental health. CCPs use a combination of mental health professionals and paraprofessionals, who are trained and supervised to deliver an array of crisis counseling services, including individual and group crisis counseling; basic supportive or educational contact; public education; community networking and support; assessment, referral, and resource linkage; and development and distribution of educational materials and media or public service announcements. CCPs are culturally competent, understanding, respectful, and sensitive to the cultural makeup of communities served. CCP staff are usually indigenous to the affected communities and are sometimes survivors themselves.
H. Mass Care and Housing
HHS works with federal partners (such as FEMA and HUD) and NGOs (such as Red Cross) to promote the inclusion of behavioral health support in shelters and disaster housing. Within HHS, ASPR-ABC, ACF, ACL, and the Office on Disability (OD) provide subject matter expertise and technical assistance to meet the needs of children and at-risk individuals in mass care and congregate shelters. Through the mission assignment process, HHS behavioral health responders can provide psychological first aid and referral services to shelter residents. Several OPDIVs and STAFFDIVs participate in the National Disaster Housing Task Force, which may be called upon to provide guidance on disaster housing following a major event.
IV. Preparedness

The Preparedness Section includes:

⇒ **DISASTER BEHAVIORAL HEALTH CONOPS SUPPORT BY PHASE**—a figure outlining the different disaster behavioral health groups that support each phase of disaster: preparedness, response, and recovery;

⇒ **DISASTER BEHAVIORAL HEALTH PREPAREDNESS**—an in depth discussion of the planning, technical assistance and resource dissemination, and training activities related to behavioral health; and

⇒ **RELATED PREPAREDNESS CONSIDERATIONS**—an overview of three areas that intersect with preparedness: behavioral health promotion, community resilience, and climate change adaptation.

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**Disaster Behavioral Health CONOPS Support by Phase**

(Figure 1)

Three groups with a focus on behavioral health issues convene during preparedness, response, and recovery. As described in detail in the subsequent sections of this CONOPS, national preparedness is informed by the Disaster Behavioral Health Preparedness Forums. Public health and medical response activities are assisted by the Federal Disaster Behavioral Health Group (FDBHG). Health and social services recovery also may be supported by the FDBHG —when activated during recovery— to help to address continued coordination of behavioral health issues.

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**Figure 1: HHS DBH CONOPS Groups by Phase of Disaster**

- **Preparedness**
  - Disaster Behavioral Health Preparedness Forum
- **Response**
  - Federal Disaster Behavioral Health Group (activated during response)
- **Recovery**
  - Federal Disaster Behavioral Health Group (activated during recovery)
A. Disaster Behavioral Health Preparedness
Evidence informed disaster behavioral health strategies and actions promote community resilience and are an essential part of public health and medical emergency response and recovery. Disaster behavioral health must be integrated into plans and preparedness activities in order to promote effective and comprehensive response, recovery, and national health security.

Planning

1. **Preparedness Forums:** ASPR-ABC convenes Disaster Behavioral Health Preparedness Forums. The format of these forums is flexible to meet current preparedness needs for behavioral health as identified through stakeholder engagement and after-action activity, and may include in-person meetings, teleconferences, or web-based venues. Meetings of the Forum are convened at least semi-annually. Federal agency participation includes—but is not limited to—HHS agencies that address with behavioral health, human services, emergency management, or related issues including ASPR, SAMHSA, CDC, ACF, ACL, and HHS’s Center for Faith-based and Neighborhood Partnerships (CNFBP) and Health Resources and Services Administration (HRSA). Participation from both headquarters and regional office staff is encouraged. At least once annually the group gathers stakeholder feedback. Through the Forum, key federal partners with equities in disaster behavioral health preparedness and the psychological and behavioral aspects of community resilience:
   - Share information and best practices;
   - Leverage opportunities for coordinated efforts, joint projects, and presentations at national and regional conferences;
   - Incorporate lessons learned into preparedness plans;
   - Gather external stakeholder input; and
   - Promote shared objectives.

2. **Regional Preparedness:** Regional Emergency Coordinators (RECs) are the regional representatives of the Assistant Secretary for Preparedness and Response. The primary mission of the RECs is to assist partners to prepare for, and respond to, public health and medical emergencies, including behavioral health needs. The RECs are senior members of the Federal emergency operations community and have diverse professional educational backgrounds and expert health systems knowledge and experience. The REC works to establish engaged partnerships with State, county, and local governments, tribal nations, international counterparts, as well as the private sector. The national response is more effective when all levels of government work together well before an incident to develop effective plans and achieve a heightened state of preparedness.

3. **Disaster Behavioral Health Capacity Assessment:** ASPR RECs can conduct Disaster Behavioral Health Capacity Assessment for states in their region. To accomplish this, ASPR RECs collaborate with ASPR National Hospital Preparedness Program Field Project Officers, CDC Public Health Emergency Preparedness project officers, SAMHSA Regional Administrators, and/or DHS-FEMA partners to determine and implement appropriate methodologies to support an assessment to establish capacity, address gaps, and promote resilient systems. ASPR-ABC and SAMHSA are available to provide technical assistance for this process, and SAMHSA Regional Administrators are available for liaison activities involved in the assessment development.

4. **MedMap:** MedMap is a tool that can be populated with information about the behavioral health infrastructure in each state, territory, or tribal area, such as availability of STT, community, and private providers of mental health and substance abuse services as well as pertinent federal
grantees. The HHS Emergency Management Group (EMG) compiles and analyzes behavioral health MedMap data to inform response and recovery, target resources, and address gaps.

5. **PLANNING**: HHS OPDIVs and STAFFDIVs with disaster behavioral health expertise (such as ACF, ASPR, CDC, and SAMHSA) participate in preparedness activities such as liaising with the ASPR OEM Plans group to ensure that behavioral health planning is incorporated into all hazards plans and all appropriate event specific annexes. During active disaster response, these entities may be called upon to contribute to the development of an ESF #8 Incident Coordination Plan (ICP).

6. **AFTER-ACTION MEETINGS, DRILLS, AND EXERCISES**: OPDIVs and STAFFDIVs that are engaged in response and recovery also work with the ASPR OEM Training, Exercise, and Lessons Learned (TELL) Branch through participation in exercises, after-action meetings, and lessons-learned sessions.

7. **RESILIENCE**: Agencies participating in the Preparedness Forums foster the principles of individual and community psychological resilience, the importance of social connectedness, and the value of behavioral health promotion in preparedness plans and approaches. These agencies also participate in the related interagency Federal Community Health Resilience Coalition convened by ASPR to address resilience issues.

**Technical Assistance and Information Dissemination**

8. **SAMHSA Regional Administrators**: SAMHSA Regional Administrators are regional resources for planning, preparedness and response, with the ability to work directly with federal, state and local planning, preparedness, and response assets in their region.

9. **COOPERATIVE AGREEMENTS/GRANTS**: ASPR and CDC educate STT stakeholders and grantees participating in and ASPR’s Hospital Preparedness Program and CDC’s Public Health Emergency Preparedness program on the importance of including behavioral health as part of public health and healthcare capabilities for disaster preparedness and response.

10. **MULTI-STATE DISASTER BEHAVIORAL HEALTH CONSORTIUM**: ASPR-ABC and SAMHSA communicate with the Multi-State Disaster Behavioral Health Consortium to exchange information, resources, and promising practices.

11. **DISSEMINATION OF INFORMATION**:

- A number of agencies—including ASPR-ABC, CDC, VA, ACF, and SAMHSA, create tip sheets, educational resources, and factsheets and disseminates them to ESF #8, ASPR OEM’s Division of Recovery, and STT partners.
- The SAMHSA Disaster Kit contains SAMHSA disaster behavioral health publications for professionals and the general public. Materials may be used to support immediate disaster behavioral health response efforts (See Appendix D).
- The SAMHSA Disaster Behavioral Health Information Series (DBHIS) contains themed resource collections and toolkits pertinent to the disaster behavioral health field (See Appendix D).

12. **BEHAVIORAL HEALTH PROGRAMS**:

- SAMHSA’s Disaster Technical Assistance Center (DTAC) provides resources that help STT and local entities deliver an effective mental health and substance abuse response to disasters.
- SAMHSA’s National Child Traumatic Stress Network is dedicated to improving access to care, treatment, and services for children and adolescents exposed to traumatic events.
Training

14. **Regional Staff Training**: ASPR-ABC provides guidance and training as needed and/or as requested on disaster behavioral health preparedness, response, and recovery; at-risk individuals; behavioral health force protection; and community resilience.

15. **Psychological First Aid**: All ASPR-OEM-NDMS responders are directed to take an online introductory course on psychological first aid. The online course is also available to any ASPR employee who wishes to take it. ASPR-OEM-NDMS members with behavioral health or related roles are encouraged to take more in-depth psychological first aid training to meet the emotional and medical needs of survivors and responders.

16. **CDC Training**: CDC offers training in terrorism preparedness and emergency response, including content specific to psychological implications, to CDC employees and contractors and provides training materials to assist local and regional practice partners.

17. **MRC Training**: The Division of the Civilian Volunteer Medical Reserve Corps (DCVMRC) provides access to educational opportunities to MRC units and volunteers through MRC-TRAIN to ensure that behavioral health and at-risk individual needs are integrated into their preparedness, response, and recovery activities. In many localities, MRC units are incorporating psychological first aid Training in their curricula.

18. **Crisis Counseling Training**: SAMHSA provides training in crisis counseling for STT personnel.

19. **OASH-OSG-DIVISION OF COMMISSIONED CORPS PERSONNEL AND READINESS (DCCPR)**: DCCPR provides its deployment teams with annual training in four areas of competency: disaster behavioral health triage, assessment, analysis, and implementation of sustainable interventions supporting continuity and recovery.

B. Related Preparedness Considerations

1. **Behavioral Health Promotion**

Beyond traditional disaster preparedness activities, approaches that promote good behavioral health as part of overall health and that prevent mental illness and substance abuse can be applied to build individual and community resilience. This can potentially ameliorate adverse
behavioral health reactions following an emergency event. Behavioral health promotion and mental illness and substance abuse prevention methods empower individuals and systems to meet the challenges of life events and transitions by creating and reinforcing conditions that promote healthy behaviors and lifestyles. In the context of disaster and public health emergency preparedness, behavioral health promotion and illness prevention include a continuum of approaches to educate the public, disaster survivors, and at-risk individuals, as well as medical and behavioral health professionals and early and school age children’s caregivers/educators. Such education is accomplished by developing and delivering training and messaging through live, online, and social media, and through printed materials; by mobilizing and analyzing research; by conducting surveillance of behavioral health concerns; and by pre-developing customizable templates for educational materials. Disseminating information through multiple media, in many languages, and in formats that are age-appropriate and user-friendly, are essential considerations when developing response and recovery plans and coordinating services for at-risk individuals.

2. Community Resilience

Community resilience is a prevalent theme in the policy and practice that guide national disaster and public health and medical emergency preparedness, response, and recovery. Community resilience may be defined as the sustained ability of communities to withstand and recover—in both the short and long terms—from adversity (NHSS, 2009). Promoting community resilience is a multi-sector endeavor that addresses a wide range of variables that can potentially impact resilience such as infrastructure, connectedness, health, organizational, psychological, and economic (I-C-HOPE) considerations. This effort requires the engagement of diverse partners with direct ties and established trust among the individuals who live in their communities and who are able to mobilize their networks to build community resilience.

Community resilience in the context of national health security is an intersection of preparedness/emergency management, traditional public health, and community development. Preventive care, health promotion, and community capacity building are important in fostering health resilience. Different sectors working in disaster and emergency preparedness, response, and recovery have unique capabilities and have something to offer, and something to receive from, the health sector in a whole community approach to resilience. In particular, behavioral health and psychological resilience science and approaches, the value of behavioral health promotion, and the importance of social connectedness must be included in disaster behavioral health preparedness efforts and should also inform the larger community resilience discussion to forward integrated whole community planning.

3. Climate Change Adaptation

Climate change poses the risk for increased natural disasters, extreme weather events, and other climate-related stressors and public health impacts. Careful longer-term planning and preparedness must take into account increased these risks. This includes planning for robust capabilities for behavioral health educational materials and support to meet the challenges of increased severe weather disasters. The potential for re-traumatization in communities affected by recurrent weather-related emergency events is also a planning consideration.
V. Response

The Response Section includes:

⇒ **OVERVIEW OF RESPONSE COORDINATION** — a description of the general response structure for public health and medical disasters and emergencies provides an overview prior to a detailed discussion of disaster behavioral health response activities;

⇒ **DISASTER BEHAVIORAL HEALTH RESPONSE ACTIVITIES** — a description of the activities taken to deliver a behavioral health response to public health and medical disasters and emergencies; and

⇒ **CONSIDERATIONS FOR CATASTROPHIC DISASTER** — additional behavioral health actions that may occur during a wide-spread catastrophic disaster.

A. Overview of Response Coordination

1. General Structure

On behalf of the Secretary of HHS, the ASPR directs and coordinates all federal public health and medical assistance—including behavioral health assistance—provided by the NRF. The ASPR also acts as the senior-level HHS liaison to DHS and other federal departments and agencies. Within ASPR, OEM is responsible for ensuring preparedness to respond to and recover from public health and medical threats and emergencies. OEM is also responsible for ensuring that ASPR has the systems, logistical support, and procedures necessary to coordinate the Department’s operational response to acts of terrorism and other public health and medical threats and emergencies.

ASPR-OEM maintains a standing headquarters element that includes the HHS Emergency Management Group (EMG) within the Secretary’s Operations Center (SOC). The EMG and the SOC are always activated and routinely function in an awareness and monitoring posture. ASPR-OEM also maintains regional offices led by Regional Emergency Coordinators (RECs). Both the headquarters staff and the RECs maintain situational awareness and share information on incidents of potential interest. The RECs from the affected region form the core leadership for field response operations. Upon learning of an incident, RECs will investigate or “size-up” the incident, and initiate contact with colleagues in the affected state and other federal regional offices. If there are no immediate concerns from the state, the REC will communicate the information to the SOC and continue to monitor the situation. The REC’s will adopt a “lean forward” posture, review response plans associated with the incident type, and anticipate likely response requirements, should the situation change.

A senior REC in the impacted region is designated by the OEM Director as the Federal Health Official (FHO) responsible for leading the federal response. The FHO is supported by the EMG and assisted in the area of operations by the Incident Response Coordination Team (IRCT). The IRCT is often situated in the region near the event theatre of operations.

On activation to a response level, the EMG transforms to an established Incident Command System (ICS) and coordinates ESF #8 resources, including coordination with HHS’s federal partners, to meet requests for assistance.

*HHS Disaster Behavioral Health Concept of Operations, February 2014, page 21*
2. **The Incident Response Coordination Team (IRCT)**

The IRCT is scalable, has a built-in command structure, and provides support and direction for all ESF #8 response assets in the field. When deployed, under the guidance of the FHO, the IRCT directs and coordinates activities of all deployed HHS personnel, and assists STT, local and other federal and government agencies, as applicable. Critical to an effective field response is a regional plan developed in collaboration with key public and private sector stakeholders well before an incident. The plan should detail how to perform field assessments. When deployed, the IRCT supports the field assessment for the specific incident for which it is deployed. The IRCT has flexible staffing and may deploy with subject matter experts from HHS and the region. It may include public health, emergency medical, environmental health, veterinary, behavioral, and mortuary service representatives as well as operations, logistics, and communications team members. Because it is normally regionally based, assessment team members are familiar with local threats, resources, plans, health and behavioral health care delivery systems, key contacts, and geography.

3. **Behavioral Health Roles on the IRCT**

The IRCT structure includes a behavioral health function. This function can be filled by a Behavioral Health Liaison Officer (LNO) who acts as the single point of contact for the IRCT for assisting and cooperating with federal agency representatives on matters related to behavioral health. The Behavioral Health LNO also serves as liaison with STT and local behavioral health officials regarding response operations issues. In addition, a Behavioral Health Safety Officer may be included on the IRCT to facilitate behavioral health force protection within the IRCT and monitor behavioral health force protection that is being provided to deployed teams. For more information on the IRCT, see the OEM IRCT CONOPS.

The nature of behavioral health activities and defining the scope of care for response operations is determined by the FHO, IRCT Commander, and National Disaster Medical System (NDMS) Chief Medical Officer (CMO). The behavioral health scope of care is based on assessment of needs with STT officials, and in consultation with the SAMHSA Regional Administrator, EMG, IRCT Chief Medical Officer, and ASPR-ABC, as needed. It is expected that disasters or emergencies that include deployment of response assets will require Behavioral Health LNO and Behavioral Health Safety Officer roles on the IRCT due to the inherent behavioral health impacts associated with traumatic events.

B. **Disaster Behavioral Health Response Activities**

Disaster behavioral health response efforts primarily address the needs of three population groups: 1) survivors affected by the emergency who require support; 2) incident responders/workers; and 3) existing recipients of behavioral health services provided by the behavioral health care infrastructure.

Because behavioral health needs evolve in variable ways after public health emergencies and disasters, and because behavioral health response capabilities are spread across many federal, STT, local, voluntary, non-profit, and grant-based organizations, behavioral health generally does not

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3 Incident responders/workers may be interpreted to include health care, human service, child care, and educational providers exposed to the disaster or public health emergency.
follow as clear a timeline as other emergency public health and medical response activities. Indeed STT, local, voluntary, and NGO entities are often the first to begin to assess behavioral health needs and initiate a response with existing assets immediately following an emergency event. These actions often occur prior to the deployment of federal behavioral health assets, such as the Division of Commissioned Corps Personnel and Readiness (DCCPR) Mental Health Teams (MHTs). Nevertheless, key activities can be placed into a general sequence of events, with many of these actions taking place concurrently. For a disaster with a warning period (such as a hurricane), many activities may be completed earlier in the sequence, or even before the disaster’s onset. Table 2 outlines the typical disaster behavioral health response sequence of events.
<table>
<thead>
<tr>
<th>Action / Capability</th>
<th>&lt;24 hours</th>
<th>&lt;72 hours</th>
<th>Days - weeks</th>
<th>Lead Agency</th>
</tr>
</thead>
<tbody>
<tr>
<td>STT, local, voluntary, and NGO entities assess health and medical needs and initiate response</td>
<td>YES</td>
<td>YES</td>
<td>n/a</td>
<td>STT</td>
</tr>
<tr>
<td>ESF #8 and NDMS activates; OEM designates FHO to lead response in affected region, and deploys IRCT to support and direct deployed resources</td>
<td>YES</td>
<td>n/a</td>
<td>n/a</td>
<td>HHS-ASPR</td>
</tr>
<tr>
<td>EMG increases activation level</td>
<td>YES</td>
<td>n/a</td>
<td>n/a</td>
<td>ASPR-OEM</td>
</tr>
<tr>
<td>EMG Operations (OPS) issues Execution Order; if given enough lead time a Warning Order will proceed the Execution Order</td>
<td>YES</td>
<td>n/a</td>
<td>n/a</td>
<td>ASPR-OEM-EMGOPS</td>
</tr>
<tr>
<td>HHS OPDIVs/STAFFDIVs activate; LNOs report to the EMG as directed (depending on the EMG activation level).</td>
<td>YES</td>
<td>n/a</td>
<td>n/a</td>
<td>HHS OPDIVS/STAFFDIVS</td>
</tr>
<tr>
<td>Federal Disaster Behavioral Health Group (FDBHG) convenes, establishes communication and information gathering channels</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>ASPR-ABC</td>
</tr>
<tr>
<td>ASPR coordinates with regional partners, including MRC Regional Coordinators and state ESAR-VHP Coordinators</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>ASPR-OEM-EMGOPS</td>
</tr>
<tr>
<td>Human Services needs assessment and reporting for ESF #8 and ESF#6 situation reports begins; information is shared with FDBHG due to linkages between behavioral health and human services issues.</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>ACF</td>
</tr>
<tr>
<td>Behavioral Health LNO assigned to IRCT by the EMG Incident Manager (i.e. OEM Director or designee); Behavioral Health Safety Officer also assigned if indicated.</td>
<td>n/a</td>
<td>YES</td>
<td>n/a</td>
<td>ASPR –OEM-EMG &amp; IRCT</td>
</tr>
<tr>
<td>Mission Assignments enacted, assets deployed</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>ASPR-EMG</td>
</tr>
<tr>
<td>MedMap analysis of needs, gaps</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>ASPR OEM Fusion</td>
</tr>
<tr>
<td>Distribution of behavioral health information and resource coordination</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>ASPR-ABC/CDC/SAMHSA</td>
</tr>
<tr>
<td>SAMHSA initiates programs and activities, including CCP and Disaster Distress Helpline</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>SAMHSA</td>
</tr>
<tr>
<td>CMS works with STTs to maximize flexibility in Medicaid/Medicare payment and coverage in the disaster or emergency affected region.</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>CMS</td>
</tr>
<tr>
<td>Surveillance conducted to gather behavioral health information; information provided to OEM-Fusion</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>CDC/SAMHSA/ASPR-OEM-Fusion</td>
</tr>
<tr>
<td>NIH and ASPR mobilize research findings and subject matter expertise</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>NIH/ASPR</td>
</tr>
<tr>
<td>Behavioral health force protection is provided to HHS responders</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>ASPR-OEM &amp; IRCT</td>
</tr>
<tr>
<td>Federal Mental Health Teams deployed if indicated and missions defined</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>DCCPR, NDMS-CMO, ASPR-ABC</td>
</tr>
<tr>
<td>Analysis of behavioral health contact and Electronic Medical Record data</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>IRCT/NDMS-A BC</td>
</tr>
<tr>
<td>Federal Occupational Health (FOH) Employee Assistance Program (EAP) reports and responds</td>
<td>n/a</td>
<td>YES</td>
<td>YES</td>
<td>FOH</td>
</tr>
<tr>
<td>Responder health monitoring post-event</td>
<td>n/a</td>
<td>n/a</td>
<td>YES</td>
<td>CDC-NIOSH/HHS EMG &amp; IRCT</td>
</tr>
</tbody>
</table>
Federal Activation

1. **HHS/ESF #8 Activates:** The Secretary activates a department-wide response based on FEMA activation of the NRF ESF #8 or determination that a significant incident or public health emergency requires a department-wide response. ASPR-OEM designates an FHO to lead the response in affected region, and deploys an IRCT to support and direct deployed resources. HHS OPDIVS/STAFFDIVS and ESF #8 partners are activated through the SOC for response activities. H&SS RSF maintains situational awareness to inform potential NDRF RSF activation.

2. **Supporting Agencies Activate:** The CDC Emergency Operations Center (EOC) Mental/Behavioral Health Functional Desk, ACF Emergency Operations and SOC Liaison, ASPR-ABC SOC liaison and EMG seat, Red Cross Mental Health, and SAMHSA Emergency Coordination functions activate in response mode and coordinate any immediate outreach to STT partners to address urgent needs. Once the IRCT is operational, ESF #8 communications and reporting mechanisms are used to ensure that agency outreach is coordinated with the IRCT.

Coordination, Assessment, and Analysis

3. **Federal Disaster Behavioral Health Group (FDBHG):** ASPR-ABC convenes the FDBHG, which includes participants indicated by the needs of the disaster such as SAMHSA; ACF; Red Cross; ASPR RECs, Recovery, Communications, and Ops; CDC; FOH EAP; ACL; HRSA; OASH; NIH; and the IRCT Behavioral Health LNO and Behavioral Health Safety Officer. The FDBHG provides behavioral health information analysis and coordination to the FHO, IRCT Commander, and EMG in support of federal public health and medical response operations; it does not replace or supersede OPDIV and STAFFDIV authorities, responsibilities, or reporting. The FDBHG:
   - Implements a coordinated outreach approach so that outreach to state and local behavioral health stakeholders is targeted, appropriate, and non-duplicative. Outreach to the affected region will be in concert with the FHO;
   - Establishes bi-directional communication through relevant agency programs and grants to identify needs, share governmental information, gather essential elements of information, and develop a common operating picture regarding behavioral health (Appendix F summarizes the Essential Elements of Information);
   - Analyzes information to identify capabilities, gaps, and response recommendations;
   - Identifies informational and psycho-educational resources related to the disaster event and mobilizes access to this information through public information systems; and
   - Generates information and conducts analysis to inform transition to recovery, long-term recovery, and after-action/lessons-learned activities.

4. **Federal Public Health and Medical Response Reporting:** A variety of sources, including response team personnel, contribute behavioral health information and encounter data to situation reports submitted by the IRCT. ASPR-ABC also summarizes pertinent information from the FDBHG for the SOC and ASPR leadership and to inform the Incident Action Plan (IAP) and HHS policy coordination meetings.

5. **MRC Coordination:** The Office of the Assistant Secretary of Health’s (OASH) Office of the Surgeon General’s (OSG) Division of the Civilian Medical Reserve Corps (DCVMRC) assists federal public health and medical response partners regarding situational awareness of MRC activities and coordination with MRC member units. DCVMRC works with the coordinator of the Emergency System for Advance Registration of Volunteer Health Professionals (ESAR-VHP) to provide liaison to ESF #8 partners on civilian deployment.
6. **Mission Assignments**: ASPR, along with DCCPR, creates rosters of responders eligible for mission assignments that HHS receives from FEMA in accordance with the Stafford Act. ASPR executes mission assignments and deploys behavioral health assets based on STT and local requests and needs. ASPR-ABC assists by analyzing and vetting complex behavioral health mission assignments.

**Response Assets, Resources, Technical Assistance, and Information Dissemination**

7. **Human Services**: ACF contacts program grantees and regional coordinators in affected areas to determine the impact of the disaster on human services provision and related behavioral health needs. ACF can make use of flexibilities and waivers in its routine grantee programs to provide services as necessary.

8. **Behavioral Health LNO**: Upon deployment of NDMS or DCCPR teams, the HHS-EMG assigns a Behavioral Health LNO to support the IRCT to help coordinate federal response operations assets with local and voluntary assets, identify needs, report on behavioral health activities, monitor federal behavioral health force protection efforts, and facilitate the transition to recovery operations. ASPR-ABC serves as a reach-back resource on disaster behavioral health for the NDMS CMO, the IRCT, the Behavioral Health LNO, and for leadership in the field.

9. **DCCPR Mental Health Teams**: DCCPR manages US Public Health Service (PHS) disaster response teams, which provide a wide range of behavioral health services in large scale emergencies and disasters. USPHS officers are capable of deploying directly to assist STT, local, and multilateral resources in responding to a range of disaster and emergency responses. USPHS teams are organized into a 4-tiered system of response assets with different missions, compositions, and expected response times.

10. **Information Promulgation**: ASPR-ABC works with SAMHSA, CDC, the ASPR Public Information Officer, and pertinent stakeholders to coordinate dissemination of relevant behavioral health information and psycho-educational resources. Information on behavioral health, coping, resilience, and resources available via HHS are disseminated through multiple mechanisms including social media (e.g., Twitter and Facebook) accounts.

11. **SAMHSA Behavioral Health Programs/Activities**:

   - SAMHSA contacts grantees and response partners to determine impact and coordinates with the State Disaster Behavioral Health Coordinator and stakeholders (e.g.: Departments of Mental Health/Behavioral Health, Substance Abuse/Addiction Services) in the affected region to assess need and offer technical assistance and resources. Examples of relevant projects and grants include the National Child Traumatic Stress Network, Suicide Prevention, block grants, tribal programs, and mental health and substance abuse prevention and treatment programs.
   - SAMHSA provides technical assistance on CCP (a Stafford Act program) and the SAMHSA Emergency Response Grant (SERG) program to STT and local entities. CCP assists individuals and communities in recovering from the challenging effects of disasters through the provision of community-based outreach and psychoeducational services. Services are typically provided by behavioral health organizations through contracts with a state’s department of mental health.
   - SAMHSA disseminates resource materials via the SAMHSA website, Information Clearance Center, and DTAC.
• SAMHSA Regional Administrators are able to work directly with federal, state and local response and recovery assets as regional SAMHSA leadership, as well as provide liaison between incident command(s) and national SAMHSA programs.
• The SAMHSA Disaster Distress Helpline connects those experiencing emotional distress related to a disaster with crisis center counselors who can provide support and referrals to local resources by calling SAMHSA’s 24/7 Disaster Distress Helpline at 1-800-985-5990 or texting TalkWithUs to 66746.
• SAMHSA GO2AID—The Field Resources for Aiding Disaster Survivors App allows responders to access critical, disaster-related behavioral health resources from their phone.
• SAMHSA helps VOADs and professional guilds that provide behavioral health services, such as the American Psychological Association, the National Association of Social Workers and the American Counseling Association, to coordinate their activities with federal and STT efforts.

12. **Medicaid/Medicare:** CMS works with states to maximize flexibility in Medicaid/Medicare payment and coverage in the disaster or emergency affected region.

13. **Surveillance:** Agencies query existing surveillance systems for information about behavioral health and resilience. CDC and SAMHSA, if indicated, tailor existing surveillance systems, such as the Behavioral Risk Factor Surveillance System, to ascertain disaster-related behavioral health trends.

14. **Research Findings and Subject Matter Expert (SME) Input:** NIH and its National Library of Medicine (NLM) identify pertinent research findings and scientific evidence relevant to behavioral health and resilience concerns of the disaster event in order to inform policy and response decisions. NIH, ASPR-ABC, and ASPR’s National Biodefense Science Board (ASPR-NBSB) obtain input from additional behavioral health and resilience SMEs when indicated.

15. **Research Opportunities:** NIH examines the response environment for opportunities to promote research on behavioral health through existing programs, through specialized funding announcements, or the NIH unsolicited parent grant announcement.

**Responder Behavioral Health**

16. **Behavioral Health Force Protection:**

   • The HHS EMG and ASPR IRCT integrate behavioral health force protection assets and materials into federally deployed teams.
   • During response events that carry a high risk of secondary trauma, a Behavioral Health Safety Officer may be assigned to the IRCT to coordinate on site force health protection to deployed HHS personnel.
   • In catastrophic, complex, or large scale events, behavioral health providers and materials are integrated into the NDMS Mobilization Processing Center to provide information, pre- and post-deployment briefings, and informal one-on-one supportive interventions to HHS responders (see OEM MPC CONOPS for more detail).
   • After deployment the NDMS CMO, ABC, and DCCPR—informed by input from the field leaders of the response— will determine whether additional efforts to ensure responder resilience are warranted. These efforts could include follow up emails, telephone calls or material distribution.

17. **DCCPR Mental Health Teams (MHTs):** DCCPR Public Health Service MHTs may be deployed to provide force health protection services, stress mitigation/management, and counseling services for responding agencies' personnel and their family members, crisis intervention including...
psychological first aid and triage for disaster survivors and incident responders/workers, and public behavioral health agency/community assistance.

18. **CDC Responder Resiliency:** Through its Responder Resiliency Program, CDC provides trained team members to monitor and support the well-being and resilience of CDC emergency response personnel and enhance their awareness of psychosocial conditions in the populations they serve.

19. **CDC Responder Health Monitoring:** CDC's National Institute for Occupational Safety and Health (NIOSH) offers guidance for emergency responder health monitoring and provides communication products and technical consultation to employers and worker organizations following requests submitted either through routine channels or through the CDC Emergency Operations Center Worker Safety and Health Function Desk.

20. **FOH EAP Services:** FOH EAP Emergency Response Teams report to impacted agencies requesting services and can provide post-deployment education, support, and referrals to responders. Information on how to access the EAP is provided to responders during the mission and after their return home.

C. **Considerations for Catastrophic Disaster**

Catastrophic disasters impose extensive and urgent behavioral health needs that may significantly exceed the response capabilities of localities, STTs, voluntary organizations, partners, and federal assets. Examples of catastrophic scenarios are devastating, widespread natural disasters affecting multiple STTs, large public health emergencies or epidemics, or disasters with very high loss of life and traumatic exposure. Catastrophic disasters necessitate actions above and beyond those listed in this CONOPS to ensure that disaster survivors and responders receive the critical behavioral health services they need. Catastrophic events likely entail extensive, acute needs in the population and the potential for damage to the behavioral health infrastructure that would normally provide treatment. Behavioral health force protection for deployed responders will also be a concern. As described earlier, NDMS may opt to stand up a Mobilization Processing Center to provide additional support to HHS responders. The specific risks, needs, and behavioral health response actions will be dictated by the characteristics of the catastrophic disaster. Depending on legal authorities, presidential or legislative actions, and/or supplemental funding support, a number of response resources may be available.

1. **Additional Partners:** By reaching out and facilitating coordination, ASPR, localities, STTs, and other organizations may expand their respective responses by maximizing potential surge resources for behavioral health, such as:
   - HHS behavioral health professionals beyond those normally engaged in response activities;
   - VA and DoD;
   - State volunteer behavioral health responders from the MRC and the ESAR-VHP network outside the affected area;
   - National VOADs and community and faith-based organizations with behavioral health capabilities from outside the affected area;
   - Behavioral health and health professional associations such as the American Psychological Association’s Disaster Response Network, the National Association of County & City Health Officials, the National Association of Social Workers, the American Psychiatric Association, the National Association of State Alcohol and Drug Abuse Directors, the National Association of...
2. **Deployment of Tools and Resources:** HHS can potentially draw on a number of mechanisms and assets to expand the disaster behavioral health response effort:

- Facilitating the provision of just-in-time disaster behavioral health training (such as psychological first aid) for additional federally-sponsored volunteers or other responders who may be put on rosters and deployed;
- Assisting STTs with access to critical psychiatric or substance abuse treatment medications;
- Using science-based tools to prioritize use of scarce clinical resources; and
- Offering evidence-based and culturally informed interventions at the population and community level to reduce morbidity or severity of psychological illness and injury in situations that hamper or prevent provision of behavioral health treatment to significant numbers of individuals.

3. **Waivers and Supplemental Funding:** The federal role in disaster response may be broadened through utilization of statutory authorities or new authorities enacted by Congress:

- Exercise of allowable federal program and grant flexibility or waivers to broaden provision of behavioral health services; and
- Securing supplemental appropriations from Congress to fund critical disaster behavioral health services and determining delivery mechanisms for funding (such as the SERG program, which may also be used without Stafford Act declaration).
VI. **Recovery**

The Recovery Section includes:

⇒ **OVERVIEW OF HEALTH AND SOCIAL SERVICES RECOVERY** — a discussion of HHS’s role as the Coordinating Agency for the Health and Social Services Recovery Support Function in the National Disaster Recovery Framework; and

⇒ **RECOVERY INTEGRATION** — the activities taken to address behavioral health needs in disaster recovery.

A. **Overview of Health and Social Services Recovery**

HHS is the Coordinating Agency for the NDRF Health and Social Services (H&SS) Recovery Support Function (RSF)\(^4\) and the Department has designated a National H&SS RSF Coordinator within ASPR. The Recovery Federal Interagency Operational Plan (FIOP) establishes coordination mechanisms for Federal H&SS RSF operations in support of locally-led recovery efforts.

Mental health reactions and substance abuse conditions often emerge or intensify during recovery, impeding individual and community resilience. Behavioral health is a critical part of a multi-sector recovery approach that engages the whole community to foster partnerships among government and local institutions, the private for-profit and non-profit sectors, and voluntary, community, cultural, and faith-based groups.

Recovery coordination for behavioral health may include:

- Assessment of disaster-related structural, functional, and operational impacts to behavioral health facilities and programs;
- Provision of technical assistance in leveraging existing resources to meet community needs that have surfaced during the response phase, such as increasing surge capacity of existing behavioral health service systems;
- Engagement with behavioral health partners to assess needs, provide technical assistance, and identify best practices (including those for prevention) and connect practitioners with resources;
- Engagement with stakeholders to develop strategies, including population-based strategies, to address ongoing behavioral health assessment, surveillance and long-term treatment needs; and
- Development and dissemination of consistent messaging and guidance concerning stress management and mitigation strategies.

B. **Recovery Integration**

ASPR OEM’s Division of Recovery staff members are engaged throughout preparedness, response, and recovery phases and participate on in the Disaster Behavioral Health Preparedness Forum and the FDBHG to ensure that recovery issues are anticipated and addressed in all phases of disaster.

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\(^4\) NDRF and the Recovery Federal Interagency Operational Plan (FIOP), Annex C: Health and Social Services Recovery Support Function
1. **FDBHG During Response—Recovery Focus:** During response, ASPR-ABC ensures that FDBHG meetings include behavioral health issues that can be expected to evolve or arise during recovery. The final meeting(s) of the FDBHG during the response phase are dedicated to recovery, and involve additional recovery stakeholders, as needed.

2. **FDBHG—Response to Recovery Transition:** The FDBHG is activated as part of the integrated HHS public health and medical response. The group continues to meet to support recovery operations as needed after response operations have ceased. The FDBHG may include additional or different members should it continue to meet to support recovery efforts.

3. **FDBHG During Recovery:** When NDRF activation is imminent, the H&SS RSF National Coordinator convenes the H&SS RSF Primary Agencies and Supporting Organizations to support the overall health (including behavioral health) and social services activities. The H&SS RSF can include content area working groups, such as the FDBHG.
   - If indicated by the needs of the disaster/emergency, the H&SS RSF National Coordinator establishes a working group to address behavioral health needs and provide reach-back subject matter expertise to the H&SS Field Coordinator. The FDBHG, when activated to support recovery, serves this function. The H&SS RSF Field Coordinator identifies behavioral health issues in need of FDBHG support.
   - ASPR’s ABC Division convenes and facilitates the FDBHG in support of H&SS recovery efforts.
   - Pertinent members of the FDBHG continue to participate in the group during recovery and additional members from HHS agencies and H&SS Primary and Supporting Organizations—both from headquarters and the impacted region—are added, as necessary, to meet the needs of the disaster or emergency.
   - ASPR-ABC, in consultation with the H&SS RSF National Coordinator and based on the needs of the field identified by the H&SS RSF Field Coordinator, will make a determination as to whether the FDBHG needs to meet on a recurrent basis or episodically to address specific behavioral health issues and needs that arise.
   - The FDBHG in support of health and social services recovery may:
o Provide behavioral health information analysis and coordination in support of H&SS RSF operations. The FDBHG does not replace or supersede OPDIV and STAFFDIV authorities, responsibilities, or H&SS RSF reporting;
o Support bi-directional communication through relevant agency programs and grants to identify needs, share governmental information, gather pertinent information, and develop a common operating picture that are needed to guide behavioral health recovery activities.
o Analyze information to identify capabilities and gaps, and make recommendations to inform recovery actions for behavioral health;
o Identify informational and psycho-educational resources related to the disaster event and mobilize access to this information through public information systems; and
o Generate information to inform longer-term behavioral health issues that may arise later in recovery.
• ASPR ABC summarizes pertinent information from the FDBHG for H&SS situation reports and for ASPR leadership.
• The FDBHG may be called upon to provide technical assistance, support, and analysis regarding disaster behavioral health recovery issues throughout the H&SS RSF recovery activation period. The FDBHG stands down when the H&SS RSF stands down, if it has not been adjourned prior to this.

4. Responsibilities of HHS Agencies Supporting Recovery for Behavioral Health
HHS agencies with behavioral health responsibilities:
• Maintain bi-directional communication through relevant agency programs and grants to assess and address locally-driven recovery needs and gaps and share governmental information;
• Support work groups established by the HSS RSF Field Coordinator and the impacted STT to address local disaster behavioral health recovery needs;
• Provide information to the H&SS RSF Field Coordinator to inform recovery assessments and to guide H&SS RSF activities (including requests for support based on the H&SS Field Coordinator’s Mission Scoping Assessment Report and/or recovery mission assignments);
• Identify informational and psychoeducational resources related to disaster recovery and resilience and mobilize access to this information through recovery information channels; and
• Plan for and implement the transition from recovery operations to steady-state activity within their agency’s programs.

Additional Resources, Technical Assistance, and Information Dissemination
5. MEDMAP IN RECOVERY: The OEM Division of Fusion compiles and analyzes relevant MedMap data to inform recovery efforts and track reconstituted infrastructure.
7. BEHAVIORAL HEALTH PROGRAMS/ACTIVITIES: SAMHSA:
• Partners with STT and local entities to examine behavioral health recovery needs and recommend ways to transition federal response supports into existing structures (e.g., CCP or SERG and/or VOAD or community behavioral health efforts);
• Offers technical assistance and resources from existing SAMHSA-sponsored programs and grantees such as the Disaster Technical Assistance Center, National Child Traumatic Stress Network, Suicide Prevention, block grants, tribal community programs, and mental health and substance abuse prevention and treatment programs;
• Works in partnership with STT CCP grantees to continue to carry out crisis counseling services to promote individual and community resilience and recovery; compiles and analyzes data from CCP to inform the behavioral health recovery; and
• SAMHSA Regional Administrators are able to work directly with federal, state and local response assets as regional SAMHSA leadership, as well as provide liaison between incident command(s), H&SS RSF Field Coordinators, and national SAMHSA programs.

8. **Human Services:** ACF provides recovery-related technical assistance for ACF programs.

9. **Medicare/Medicaid:** CMS works with STTs to maximize flexibility in Medicaid/Medicare payment and coverage in the disaster or emergency affected region.

10. **Surveillance:** Agencies query existing surveillance systems for information to track trends in behavioral health recovery in the affected region. CDC, if indicated, tailors existing surveillance systems to continue to ascertain disaster-related behavioral health trends.

11. **Research Opportunities:** NIH, placing the care and safety of disaster survivors above all else and remaining sensitive to the complexities associated with disaster research, examines the recovery environment for opportunities to promote research on behavioral health through existing programs, through specialized funding announcements, or through the NIH unsolicited parent grant announcement.

12. **Research and SME Input:** NIH and its NLM provide literature reviews and gather pertinent research on recovery and resilience issues, as indicated. ASPR-ABC obtains input from additional behavioral health, recovery, and resilience subject matter experts when indicated.

13. **FOH EAP Support:** The FOH EAP and Work/Life program offer information and transition services for qualified individual federal employees, their families, and supervisors after an event. Assistance might include provision of psychological support sessions, information about what to expect in the aftermath of an event, including expectations about work performance, and coaching on specific issues.

**Transition from H&SS RSF Activation to Steady-State**

14. **Phase-Down and Transition to Steady-State:** Transition from NDRF H&SS RSF coordinated recovery activity to steady-state federal, STT, and community resources is a key planning consideration throughout the H&SS RSF operational period. The NDRF and the Recovery FIOP provide guidance for assessing the progress of this transition. Prior to the transition, phase-down planning is conducted. For behavioral health this involves:

• Ensuring the disaster-impacted community is aware of any changes in behavioral health service provision and engaged in the transition to steady-state activity, working through the H&SS RSF Field Coordinator and regional agency personnel; and
• Documenting and applying behavioral health lessons learned through engaging in after-action review activity and revising related recovery documents, including documenting the new promising practices, approaches, knowledge, and resources concerning behavioral health developed through the recovery process that can assist communities to recover and become more resilient.
Appendices

The Appendices include:
⇒ **APPENDIX A:** DISASTER BEHAVIORAL HEALTH OPERATIONAL CHECKLIST;
⇒ **APPENDIX B:** IRCT BEHAVIORAL HEALTH LNO JOB AID;
⇒ **APPENDIX C:** IRCT BEHAVIORAL HEALTH SAFETY OFFICER JOB AID;
⇒ **APPENDIX D:** WEB RESOURCES;
⇒ **APPENDIX E:** LIST OF ACRONYMS; and
⇒ **APPENDIX F:** ESSENTIAL ELEMENTS OF INFORMATION.
# Appendix A: Disaster Behavioral Health Operational Checklist

## INITIAL ASSESSMENT

<table>
<thead>
<tr>
<th>TASK</th>
<th>RESPONSIBLE</th>
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<tbody>
<tr>
<td>□ ASPR’s RECs in the affected region engage with SLTT officials to provide technical assistance, assess situation, and identify gaps. RECs contact/consult with HHS RD, RHA, SAMHSA RA, and ABC as necessary.</td>
<td>ASPR-RECs, EMG</td>
</tr>
<tr>
<td>□ RECs notify ASPR leadership and EMG to apprise them of situation, request for federal assistance (if any), and recommended course of action, including Mission Assignment, if Stafford Act declaration is anticipated.</td>
<td>ASPR-RECs, EMG</td>
</tr>
<tr>
<td>□ Request for DHHS Support is received and approved by EMG</td>
<td>ASPR-EMG</td>
</tr>
<tr>
<td>□ Review Mission Assignment (MA) for behavioral health needs, approved activities, and appropriate scope of care.</td>
<td>EMG, ASPR-RECs, ASPR-ABC, ASPR-NDMS CMO</td>
</tr>
<tr>
<td>□ Convene Federal Disaster Behavioral Health Group to ensure situational awareness of needs/issues related to federal assistance requests and to identify existing resources.</td>
<td>ASPR-ABC</td>
</tr>
<tr>
<td>□ Contact stakeholders in the Region to provide supporting information regarding disaster behavioral health issues/needs related to the request and connect RECs to behavioral health federal partners or stakeholders if needed.</td>
<td>ASPR-RECs, ASPR-ABC, SAMHSA</td>
</tr>
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</table>

## INITIAL ACTION

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<tr>
<th>TASK</th>
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<tr>
<td>□ A response is authorized based on FEMA activation of the NRF ESF #8 or determination that a significant incident or public health emergency exists. EMG Incident Manager/OEM Director or designee designates FHO to lead response in affected region, and deploys IRCT to support and direct deployed resources. HHS OPDIVS/STAFFDIVS and ESF #8 partners are activated through SOC for response activities.</td>
<td>EMG, IRCT</td>
</tr>
<tr>
<td>□ A Behavioral Health Liaison Officer (LNO) is assigned to act as the single point of contact for assisting and cooperating with agency representatives, and may serve as liaison with federal, STT, and local behavioral health officials regarding response operations.</td>
<td>EMG, Field Ops</td>
</tr>
<tr>
<td>□ A Behavioral Health Safety Officer (SFO) is assigned, if indicated, to monitor and facilitate behavioral health force protection for the IRCT and deployed resources.</td>
<td>EMG, Field Ops</td>
</tr>
<tr>
<td>□ Behavioral health activities and scope of care are defined based on assessment of needs by the IRCT CO, the FHO, and the NDMS CMO, and in consultation with the, EMG, SAMHSA, IRCT Chief Medical Officer, and ABC, and federal and STT officials, as needed.</td>
<td>IRCT-CO, EMG, IRCT-CMO, NDMS-CMO</td>
</tr>
<tr>
<td>□ Determine BH assets to include those embedded in NDMS Teams and PHS MHT assets and make contact. Determine a coordination plan for daily deployment.</td>
<td>EMG, IRCT-CO, IRCT- BH LNO, Field Ops</td>
</tr>
<tr>
<td>□ Establish a daily protocol for communication with the IRCT Commander to include morning and afternoon briefings or meetings as needed and data collection procedures.</td>
<td>IRCT, EMG</td>
</tr>
<tr>
<td>□ Identify responder behavioral health force protection personnel and assets. Determine whether a Deployment/Re-entry center will be established for this response. Ensure that deployed teams have behavioral health staff assigned.</td>
<td>IRCT, EMG, ASPR NDMS CMO, ASPR-ABC</td>
</tr>
<tr>
<td>□ Identify responder behavioral health force protection resource materials. May include print and Web-based materials addressing how to anticipate and address behavioral health reactions and how to access additional support if needed. Ensure mechanism to deliver/transmit resources to deployed team members.</td>
<td>ASPR-ABC, SAMHSA, IRCT BH/SFO LNO</td>
</tr>
<tr>
<td>TASK</td>
<td>RESPONSIBLE</td>
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<tr>
<td>☐ Establish communication with SAMHSA RA and with the State Disaster Behavioral Health Coordinator. Determine activities and BH resources available.</td>
<td>IRCT BH LNO/SAMHSA/ASPR-ABC</td>
</tr>
<tr>
<td>☐ Identify Interagency partners (other Federal agencies, State, Local, Tribal), HHS OPDIV/STAFFDIV (SAMHSA, etc.) and any NGOs (Red Cross, etc.), in coordination with the EMG Incident Manager, FHO and IRCT Commander, who will participate in the execution of the mission.</td>
<td>EMG Incident Manager, FHO, IRCT, ASPR-ABC, IRCT BH LNO</td>
</tr>
<tr>
<td>☐ Convene and participate in Federal Disaster Behavioral Health Group (FDBHG).</td>
<td>ASPR-ABC, IRCT CO, IRCT BH/FSO LNO, SAMHSA</td>
</tr>
<tr>
<td>☐ Identify, in consultation with NDMS CMO and ASPR-ABC, behavioral health materials and resources for disaster survivors for use in the field. Ensure mechanism to deliver/transmit resources to deployed team members.</td>
<td>FDBHG, IRCT BH/FSO LNO</td>
</tr>
<tr>
<td>☐ Develop common operating picture, communications flow, and plan to collect behavioral health Essential Elements of Information (EEIs).</td>
<td>FDBHG, IRCT-BH LNO, EMG Info Mgmt. Cell</td>
</tr>
</tbody>
</table>

**DEMOBILIZATION/TRANSITION TO RECOVERY**

<table>
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<tr>
<th>TASK</th>
<th>RESPONSIBLE</th>
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<tbody>
<tr>
<td>☐ Focus IRCT and MH responder on Transition to Recovery Issues. As the transition to recovery nears, ensure that plans are in place to transition care and services to local assets.</td>
<td>IRCT, EMG, FDBHG, ASPR Recovery</td>
</tr>
<tr>
<td>☐ Identify behavioral health gaps/risks for the demobilization/transition plan. Base identification of gaps/risks on coordination with staff on the ground, input from the RECs and LNO, feedback from other federal, state, local, tribal or NGO agencies, EEI data, conceals or email traffic, assessment of OPDIV/STAFFDIV personnel or Senior Leaders.</td>
<td>FDBHG, IRCT-BH/FSO LNO, ASPR Recovery</td>
</tr>
<tr>
<td>☐ Identify responder behavioral health force protection needs regarding demobilization. Provide information and print and or Web-based resources to demobilizing responders to anticipate and address behavioral health reactions that might arise following the end of the mission, including how to access additional support if needed. Identify a process for assessment/check-in/follow-up with responders, if indicated.</td>
<td>IRCT BH/SFO LNO, ASPR-ABC, SAMHSA, NDMS-CMO, DCCPR</td>
</tr>
<tr>
<td>☐ Collect behavioral health After-Action Report/Lessons Learned items. Collect behavioral health lessons learned from FDBHG members and ASPR OEM’s Division of Recovery to transmit to TELL and FDBHG members and stakeholders, as appropriate.</td>
<td>IRCT, ASPR-ABC, FDBHG, ASPR-TELL</td>
</tr>
</tbody>
</table>
Appendix B: IRCT Behavioral Health Liaison Officer Job Aid

Overview
Based on the needs of the disaster or emergency response, the Incident Response Coordination Team (IRCT) Behavioral Health Liaison Officer (BH-LNO) role may be assigned to an IRCT member with other functions or be assigned an IRCT member as their sole role. The BH-LNO reports to the IRCT Commander or to another IRCT member as assigned. The nature of behavioral health activities and defining the scope of care during response is determined by the IRCT Commander, the Federal Health Officer identified for the region, and the NDMS Chief Medical Officer (CMO) and in consultation with the, Emergency Management Group (EMG), SAMHSA, the IRCT CMO, and ASPR’s Division for At-Risk Individuals, Behavioral Health, and Community Resilience (ABC).

Behavioral health, in a public health and medical response and recovery context, is concerned with:
- the stress, trauma, mental health, and substance abuse issues of disaster survivors and responders with a particular focus on mitigation of adverse behavioral health impacts;
- the behavioral health service system and the people that use these services; and
- positively influencing human behavior through effective public health messaging.

Duties

Coordination Activities
1. Act as single point of contact in the field/impacted region for assisting, cooperating with, and providing linkages to federal agency representatives regarding disaster behavioral health.
   a. Serve as a subject matter expert for IRCT and NDMS CMO on behavioral health needs of survivors and responders, local and state capabilities, services being provided, gaps and shortfalls, and determination of appropriate strategies and tactics to address needs.
   b. Maintain contact with ASPR-ABC and the Federal Disaster Behavioral Health Group (FDBHG) through email and conference calls to ensure information flow between the field and HQ and to promote seamless access of federal technical assistance and resources.
   c. Participate on ESF #8, ESF #6, FDBHG, and Recovery calls.
2. Serve as liaison for the IRCT with State, Tribal, Territorial, and local behavioral health officials.
   a. Establish and maintain communication with relevant partners, such as the State Disaster Behavioral Health Coordinator and/or the SAMHSA Regional Administrator.
   b. Participate in, and inform IRCT activities to ensure behavioral health considerations and current behavioral health activities are understood.

Response Activities
1. Ensure monitoring and facilitation of behavioral health force protection among IRCT and field personnel.
2. Educate IRCT personnel about the role of disaster behavioral health, the use of psychological first aid, and the importance of responder physical and psychological self-care.
3. Work with the IRCT Commander, Chief Medical Officer, and Safety Officer to promote work practices conducive to optimal physical and psychological health (e.g., regular breaks, time for sleep and/or exercise, contact with supports at home, etc.).
4. Communicate with embedded team-based behavioral health personnel and monitor overall behavioral health force protection of deployed teams.

HHS Disaster Behavioral Health Concept of Operations, February 2014, page 37
a. Establish and maintain regular communication with imbedded BH personnel on NDMS and DCCPR teams.

b. Obtain and provide behavioral health related resources and information as requested.

c. Report on force health protection activities and risk issues to be mitigated to the IRCT Commander.

Information and Reporting Activities

1. Gather information to inform the common operating picture as this pertains to the behavioral health needs of survivors, responders, behavioral health service recipients, and the behavioral healthcare infrastructure.
   a. Ensure that behavioral health encounters and activities are captured to inform daily reports.
   b. Create daily SitReps/SPOT reports summarizing daily activities (see attached examples).
   c. Develop regular reports or information to provide to State and local stakeholders and the Secretary’s Operation Center (SOC).
   d. Inform reporting to ESF 8/SOC with information related to behavioral health needs and actions.
   e. Utilize FDBHG partners as reach back resources and as sources to both obtain and disseminate behavioral health information and materials.

Reference: HHS Disaster Behavioral Health Concept of Operations
http://www.phe.gov/abc
Appendix C: IRCT Behavioral Health Safety Officer Job Aid

Overview
The Federal Health and Official (FHO) staff or Incident Response Coordination Team (IRCT) may include a Behavioral Health (BH) Safety Officer. The BH Safety Officer monitors and facilitates behavioral health force protection for the IRCT and deployed resources. Based on the needs of the disaster or emergency response, the BH Safety Officer role may be assigned to an IRCT member with other functions or be assigned an IRCT member as their sole role. The BH Safety Officer reports to the FHO or IRCT Commander.

Behavioral health, in a public health and medical response and recovery context, is concerned with:
- The stress, trauma, mental health, and substance abuse issues of disaster survivors and responders with a particular focus on mitigation of adverse behavioral health impacts;
- The behavioral health service system and the people that use these services; and
- Positively influencing human behavior through effective public health messaging.

Duties
Response Activities
1. Ensure monitoring and facilitation of behavioral health force protection among IRCT and field personnel.
2. Educate IRCT personnel about the role of disaster behavioral health, the use of psychological first aid, and the importance of responder physical and psychological self-care.
3. Work with the FHO, IRCT Commander, Chief Medical Officer, and Safety Officer to promote work practices conducive to optimal physical and psychological health (e.g. regular breaks, time for sleep and/or exercise, contact with supports at home, etc.).
4. Communicate with embedded team-based behavioral health personnel and monitor overall behavioral health force protection of deployed teams.
   a. Establish and maintain regular communication with imbedded BH personnel on NDMS and DCCPR PHS teams.
   b. Obtain and provide behavioral health related resources and information as requested.
   c. Report on force health protection activities and risk issues to be mitigated to the FHO and IRCT Commander.

Information and Reporting Activities
1. Contribute information to inform the common operating picture as this pertains to the behavioral health needs of responders and the behavioral healthcare infrastructure.
   a. Ensure that behavioral health encounters and activities are captured to inform daily reports.
   b. Contribute to daily SitReps/SPOT reports summarizing daily activities.
   c. Utilize Federal Disaster Behavioral Health Group partners as reach back resources and as sources to both obtain and disseminate behavioral health information and materials.

Reference: HHS Disaster Behavioral Health Concept of Operations
http://www.phe.gov/abc
Appendix D: Web Resources

WEB SITES

- HHS Assistant Secretary for Preparedness and Response (ASPR)
  http://www.phe.gov

- ASPR Division for At-Risk Individuals, Behavioral Health, and Community Resilience (ASPR-ABC)
  http://www.phe.gov/abc

- Centers for Disease Control and Prevention (CDC) Emergency Preparedness and Response Page
  http://emergency.cdc.gov/

- National Library of Medicine
  http://www.nlm.nih.gov/medlineplus/

  - Coping With Disasters

  - Post-Traumatic Stress Disorder (PTSD)

  - Disaster Information Management Research Center (DIMRC)

- Substance Abuse and Mental Health Services Administration (SAMHSA) Coping with Traumatic Events Page

- SAMHSA Disaster Technical Assistance Center (DTAC)
  http://www.samhsa.gov/dtac/

- SAMHSA National Child Traumatic Stress Network (NCTSN)
  http://www.nctsn.org/

- SAMHSA After the Crisis Initiative: Healing from Trauma after Disaster Resource Page

Resources and Factsheets

- ACF Disaster Case Management Program (DCMP)
The Disaster Case Management Program augments state and local capacity to provide disaster case management services in the event of a major disaster declaration which includes Individual Assistance. This web site explores the options states may exercise in implementing the Disaster Case Management Program http://www.acf.hhs.gov/programs/ohsepr/disaster-case-management

- At-Risk Individuals (ASPR-ABC)
At-risk individuals have needs in one or more of the following functional areas: communication, medical care, maintaining independence, supervision, and transportation. This fact sheet defines “at-risk individuals” and their needs before, during and after an emergency.
• **Disaster Behavioral Health (ASPR-ABC)**
This fact sheet highlights behavioral health concerns affecting survivors/responders and the need for disaster behavioral health capabilities.

**Disaster Behavioral Health Capacity Assessment (ASPR-ABC)**
This tool serves as a template for a behavioral health organizational assessment that organizations may opt to use or adjust to identify disaster behavioral health capacity and gaps. The tool was developed during a September 2009 meeting convened by ASPR where representatives from each state in Region I (New England) met with federal representatives to discuss current disaster behavioral health capacity in that region.

• **Domestic Violence and Disasters Specialized Resource Collection**
This collection of fact sheets and resources highlights the disproportionate vulnerability of women and children to domestic and sexual violence in disaster and emergency situations, and organizes information to help increase the safety and well-being of those at higher risk for violence (or re-traumatization) during and after a major disaster or crisis. This special collection was developed by the National Resource Center on Domestic Violence in consultation with the National Sexual Violence Resource Center, the Alabama Coalition Against Domestic Violence, the Florida Coalition Against Domestic Violence, and the Family Violence Prevention and Services Program Office of the Department of Health and Human Services.
http://www.vawnet.org/special-collections/DisasterPrep.php

• **Emergency System for Advance Registration of Volunteer Health Professionals (ESAR-VHP)**
ESAR-VHP is a national network of state-based systems, which verifies the identity and credentials of health professionals. This fact sheet explains and addresses the need for an ESAR-VHP program and defines the mission of the program.
http://www.phe.gov/esarvhp/pages/about.aspx

• **Family Violence Prevention and Services Act (FVPSA)**
FVPSA provides the primary federal funding stream dedicated to the support of emergency shelter and related assistance for victims of domestic violence and their dependents. FVPSA is located in the Family and Youth Services Bureau (FYSB), a division of the Administration on Children, Youth and Families in the Administration for Children and Families. Through the FVPSA Program (FVPSP), FYSB administers FVPSA formula grants to States, Territories and Tribes, State domestic violence coalitions, and national and special-issue resource centers.

• **Federal Occupational Health (FOH) Employee Assistance Program**

• **Force Readiness and Deployment**
This fact sheet describes resources and assistance the U.S. Public Health Service Service Access Team provides to local health authorities in response to public health emergencies and urgent health needs arising from major disasters or other events.
http://ccrf.hhs.gov/ccrf/FactSheets/SAT_Fact_Sheet_FINAL.pdf

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• **Medical Reserve Corps (OASH-OSG-DCVMRC)**
This fact sheet defines the role of the OASH-OSG-DCVMRC, its volunteers, and how it can benefit local communities.

• **National Domestic Violence Hotline**
The National Domestic Violence Hotline is a 24-hour, confidential, toll-free hotline. Hotline staff immediately connect the caller to a service provider in his or her area. Highly trained advocates provide support, information, referrals, safety planning, and crisis intervention in 170 languages to hundreds of thousands of domestic violence victims. 800-799-SAFE (7233)
http://www.thehotline.org/

• **Pet Owners**
Planning for the safe evacuation and/or care of the animals that are an important part of many individual’s and families’ lives enhances overall well-being in the event of a disaster. These fact sheets provide pet owners with information on planning for pet disaster needs, preparing to shelter a pet, and recommendations for during and after a disaster.
  - Arc Pets and Disaster Safety Checklist
  - DHS-FEMA Information for Pet Owners
    http://www.ready.gov/caring-animals

• **SAMHSA Crisis Counseling Assistance and Training Program (CCP)**
CCP assist individuals and communities in recovering from the effects of natural and human-caused disasters through the provision of community-based outreach and psychoeducational services. This fact sheet provides a brief overview of the CCP program, key principles of the program, and a link to the CCP application toolkit. http://www.samhsa.gov/dtac/proguide.asp

• **SAMHSA Disaster Behavioral Health Information Series (DBHIS)**
DBHIS is a collection of resources on numerous subjects, including Children and Youth, Deployed Military Personnel and Their Families, Languages other than English, Older Adults, Persons with Functional and Access Needs, Rural Populations, Tribal Organizations, and many more.
http://www.samhsa.gov/dtac/resources.asp#dbhis

• **SAMHSA Disaster Response Template Toolkit**
This Disaster Response Template Toolkit features public education materials that disaster behavioral health response programs can use to create resources for reaching people affected by a disaster. The Template Toolkit includes print, website, audio, video, and multimedia materials that disaster behavioral health response programs can use to provide outreach, psycho-education, and recovery news for disaster survivors. Many of the links contain sample materials and online tools that have been used in previous disaster situations across the country. The templates can also be adapted for future use as desired. http://www.samhsa.gov/dtac/dbhis/dbhis_templates_intro.asp

• **The SAMHSA Disaster Kit**
The SAMHSA Disaster Kit arms disaster recovery workers with a toolkit on mental health awareness. Includes materials for responding effectively to the general public during and after a disaster and in

- **SAMHSA DTAC Webinars**
  SAMHSA DTAC webinars and podcasts present information on disaster behavioral health topics, including an introduction to the field of disaster behavioral health, promising practices in disaster behavioral health planning, and cultural awareness. Developed to build disaster behavioral health awareness for individuals in all levels of disaster behavioral health, first responders, survivors, and students. http://www.samhsa.gov/dtac/webinars/webinars.asp
## Appendix E: List of Acronyms

<table>
<thead>
<tr>
<th>ACRONYM</th>
<th>DEFINITION</th>
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<tbody>
<tr>
<td>ACF</td>
<td>Administration for Children and Families</td>
</tr>
<tr>
<td>ACF-OHSEPR</td>
<td>ACF Office of Human Services Emergency Preparedness and Response</td>
</tr>
<tr>
<td>ACL</td>
<td>Administration for Community Living</td>
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<tr>
<td>AHRQ</td>
<td>Agency for Healthcare Research and Quality</td>
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<tr>
<td>AoA</td>
<td>Administration on Aging</td>
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<tr>
<td>APHT</td>
<td>Applied Public Health Team</td>
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<tr>
<td>ASA</td>
<td>Assistant Secretary for Administration</td>
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<td>ASFR</td>
<td>Assistant Secretary for Financial Resources</td>
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<td>ASL</td>
<td>Assistant Secretary for Legislation</td>
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<td>ASPA</td>
<td>Assistant Secretary for Public Affairs</td>
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<td>ASPE</td>
<td>Assistant Secretary for Planning and Evaluation</td>
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<td>ASPR</td>
<td>Assistant Secretary for Preparedness and Response</td>
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<tr>
<td>ASPR-ABC</td>
<td>ASPR Division for At-Risk Individuals, Behavioral Health, and Community Resilience</td>
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<tr>
<td>ASPR-NBSB</td>
<td>ASPR National Biodefense Science Board</td>
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<td>ASPR-OEM-REC</td>
<td>ASPR Regional Emergency Coordinator</td>
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<td>ATSDR</td>
<td>Agency for Toxic Substances and Disease Registry</td>
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<tr>
<td>CCP</td>
<td>Crisis Counseling Assistance and Training Program</td>
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<tr>
<td>CDC</td>
<td>Centers for Disease Control and Prevention</td>
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<tr>
<td>CMO</td>
<td>Chief Medical Officer</td>
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<tr>
<td>CFBNP</td>
<td>Center for Faith-Based and Neighborhood Partnerships</td>
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<tr>
<td>CHIP</td>
<td>Children’s Health Insurance Program</td>
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<tr>
<td>CMS</td>
<td>Centers for Medicare and Medicaid Services</td>
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<tr>
<td>DAB</td>
<td>Departmental Appeals Board</td>
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<tr>
<td>DBHIS</td>
<td>Disaster Behavioral Health Information Series</td>
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<tr>
<td>DCCPR</td>
<td>OASH-OSG-Division of Commissioned Corps Personnel and Readiness (formerly the Office of Force Readiness and Deployment)</td>
</tr>
<tr>
<td>DCVMRC</td>
<td>Division of the Civilian Volunteer Medical Reserve Corps</td>
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<tr>
<td>DCM</td>
<td>Disaster Case Management</td>
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<tr>
<td>DHS</td>
<td>Department of Homeland Security</td>
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<td>DMAT</td>
<td>Disaster Medical Assistance Team</td>
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<td>DMORT</td>
<td>Disaster Mortuary Operational Response Team</td>
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<tr>
<td>DoD</td>
<td>Department of Defense</td>
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<tr>
<td>DSWG</td>
<td>Disaster Surveillance Work Group</td>
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<tr>
<td>ACRONYM</td>
<td>DEFINITION</td>
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<tr>
<td>DTAC</td>
<td>Disaster Technical Assistance Center</td>
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<td>EAP</td>
<td>Employee Assistance Program</td>
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<tr>
<td>EEI</td>
<td>Essential Elements of Information</td>
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<tr>
<td>EMAC</td>
<td>Emergency Management Assistance Compact</td>
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<tr>
<td>EMG</td>
<td>Emergency Management Group</td>
</tr>
<tr>
<td>EOC</td>
<td>Emergency Operations Center</td>
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<tr>
<td>EPCO</td>
<td>Emergency Preparedness and Continuity of Operations</td>
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<tr>
<td>ESAR-VHP</td>
<td>Emergency System for Advance Registration of Volunteer Health Professionals</td>
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<tr>
<td>ESF</td>
<td>Emergency Support Function</td>
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<tr>
<td>FACT</td>
<td>Family Assistance Center Team</td>
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<tr>
<td>FDA</td>
<td>Food and Drug Administration</td>
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<td>FDBHG</td>
<td>Federal Disaster Behavioral Health Group</td>
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<td>FEMA</td>
<td>Federal Emergency Management Agency</td>
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<tr>
<td>FHO</td>
<td>Federal Health Official</td>
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<tr>
<td>FOG</td>
<td>Field Operations Guide</td>
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<tr>
<td>FOH</td>
<td>Federal Occupational Health</td>
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<tr>
<td>HHS</td>
<td>Department of Health and Human Services</td>
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<td>HRSA</td>
<td>Health Resource and Services Administration</td>
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<td>HSPD</td>
<td>Homeland Security Presidential Directive</td>
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<td>H&amp;SS RSF</td>
<td>Health and Social Services Recovery Support Function</td>
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<td>IAP</td>
<td>Incident Action Plan</td>
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<tr>
<td>ICP</td>
<td>Incident Coordination Plan</td>
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<tr>
<td>ICS</td>
<td>Incident Command System</td>
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<tr>
<td>IGA</td>
<td>Office for Intergovernmental Affairs</td>
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<tr>
<td>HIS</td>
<td>Indian Health Service</td>
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<tr>
<td>IMSuRT</td>
<td>International Medical Surgical Response Team</td>
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<tr>
<td>IRCT</td>
<td>Incident Response Coordination Team</td>
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<tr>
<td>ISP</td>
<td>Immediate Services Program</td>
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<tr>
<td>LNO</td>
<td>Liaison Officer</td>
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<tr>
<td>MHT</td>
<td>Mental Health Team</td>
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<tr>
<td>NCPTSD</td>
<td>National Center for Post-Traumatic Stress Disorder</td>
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<tr>
<td>NDRF</td>
<td>National Disaster Recovery Framework</td>
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<tr>
<td>NERCS</td>
<td>National Emergency Responder Credentialing System</td>
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<td>NGB</td>
<td>National Guard Bureau</td>
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<tr>
<td>NGO</td>
<td>Non-governmental Organization</td>
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<td>NHPP</td>
<td>National Hospital Preparedness Program</td>
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<tr>
<td>NHSS</td>
<td>National Health Security Strategy</td>
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<tr>
<td>NIMH</td>
<td>National Institute of Mental Health</td>
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<tr>
<td>NIMS</td>
<td>National Incident Management System</td>
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<tr>
<td>NIOSH</td>
<td>National Institute for Occupational Safety and Health</td>
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<table>
<thead>
<tr>
<th>ACRONYM</th>
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<tr>
<td>NLM</td>
<td>National Library of Medicine</td>
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<tr>
<td>NRF</td>
<td>National Response Framework</td>
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<td>NMRT</td>
<td>National Medical Response Team</td>
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<tr>
<td>NVRT</td>
<td>National Veterinary Response Team</td>
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<td>OASH</td>
<td>Office of the Assistant Secretary for Health</td>
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<td>OCIIO</td>
<td>Office of Consumer Information and Insurance Oversight</td>
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<td>OCR</td>
<td>Office for Civil Rights</td>
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<td>OD</td>
<td>Office on Disability</td>
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<td>Office of Emergency Management</td>
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<tr>
<td>OEM</td>
<td>Office of Emergency Management</td>
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<td>OGHA</td>
<td>Office of Global Health Affairs</td>
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<td>Office of Health Reform</td>
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<td>Office of the Inspector General</td>
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<td>OMHA</td>
<td>Office of Medicare Hearings and Appeals</td>
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<td>Operating Division</td>
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<td>Office of Policy and Planning</td>
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<td>OSG</td>
<td>Office of the Surgeon General</td>
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<td>OSSI</td>
<td>Office of Security and Strategic Information</td>
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<td>PAHPA</td>
<td>Pandemic and All Hazards Preparedness Act</td>
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<tr>
<td>PERRC</td>
<td>Preparedness and Emergency Response Research Center</td>
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<td>PFA</td>
<td>Psychological First Aid</td>
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<td>PHEP</td>
<td>Public Health Emergency Preparedness</td>
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<td>PHS</td>
<td>Public Health Service</td>
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<td>RDF</td>
<td>Rapid Deployment Force</td>
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<td>RSP</td>
<td>Regular Services Program</td>
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<td>SAMHSA</td>
<td>Substance Abuse and Mental Health Services Administration</td>
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<td>SAMHSA RA</td>
<td>SAMHSA Regional Administrator</td>
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<tr>
<td>SERG</td>
<td>SAMHSA Emergency Response Grant</td>
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<tr>
<td>SME</td>
<td>Subject Matter Expert</td>
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<tr>
<td>SOC</td>
<td>Secretary's Operations Center</td>
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<tr>
<td>STAFFDIV</td>
<td>Staff Division</td>
</tr>
<tr>
<td>STT</td>
<td>State, Territorial, and Tribal</td>
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<tr>
<td>USDA</td>
<td>U.S. Department of Agriculture</td>
</tr>
<tr>
<td>USPHS</td>
<td>U.S. Public Health Service</td>
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<tr>
<td>VA</td>
<td>Department of Veterans Affairs</td>
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<tr>
<td>VOAD</td>
<td>Voluntary Organizations Active in Disaster</td>
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</tbody>
</table>

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Appendix F: Essential Elements of Information

1. EVENT: What is the nature and scope of the event?
   • How many people are affected and how (e.g. fatalities, injuries, etc.)? Data Source: ASPR-REC, ASPR, CDC
   • What members of at-risk or special populations have been affected and how many? Data Source: ASPR-REC, ASPR-ABC, CDC, DCCPR
   • What are the potential short-term psychological consequences? Data Source: ASPR-ABC, CDC, DCCPR, SAMHSA, ASPR-REC
   • What are the potential mid and long term consequences? Data Source: ASPR-ABC, CDC, DCCPR, SAMHSA, ASPR-REC

2. EVENT: Has the event triggered emergency declarations and if so what kind?
   • What types of federal assistance have been made available (e.g., DHS-FEMA Individual Assistance)? Data Source: ASPR, SAMHSA

3. BEHAVIORAL HEALTH INFRASTRUCTURE: What is the status of behavioral health critical infrastructure in the affected area(s)?
   • Is evacuation of inpatient facilities required and will federal assistance be needed? Data Source: ASPR-REC, DCCPR, SAMHSA
   • What is the status of behavioral health outpatient providers in the affected area (including mental health, substance abuse, opioid replacement treatment, etc.)? Data Source: ASPR-REC, DCCPR, SAMHSA
   • Are psychiatric beds available or being used for non-psychiatric patients? Data Source: ASPR-REC, DCCPR

4. DISASTER BEHAVIORAL HEALTH SERVICES: What disaster behavioral health services are being provided?
   • What STT, VOAD, or local entity is coordinating disaster behavioral health services? Data Source: ASPR-REC, ASPR-ABC, SAMHSA, CDC, DCCPR
   • How are services being provided and are there any gaps? Data Source: ASPR-REC, ASPR-ABC, SAMHSA, CDC, DCCPR

5. BEHAVIORAL HEALTH CARE: What is the STT or local steady state capacity for behavioral health care?
   • What long term capacity exists for providing care in the behavioral health care system? Data Source: ASPR-REC, ASPR-ABC, , SAMHSA, DCCPR

6. BEHAVIORAL HEALTH CARE: What assistance have state officials requested from HHS agencies and partners relevant to behavioral health?
   • What agency or partner is providing assistance or preparing to provide assistance (e.g., DCCPR, ASPR-OEM-NDMS, CDC, SAMHSA)? Data Source: ASPR-REC, ASPR-ABC, ASPR-OEM-NDMS, CDC, SAMHSA
   • What is the status of HHS Programs (SAMHSA, ACF, CDC, IHS, etc.) in the affected area? Data Source: ASPR-REC, ASPR, CDC, IHS, DCCPR, SAMHSA
   • What is the nature of assistance being provided? Data Source: ASPR-REC, DCCPR, SAMHSA, ASPR, CDC
   • What capabilities by specialty are required from HHS? Data Source: ASPR-REC, DCCPR, ASPR
7. BEHAVIORAL HEALTH CARE: What is the need for behavioral health support to any Federal Medical Missions? Data Source: ASPR-REC, ASPR-ABC, ASPR-OEM-NDMS, DCCPR

8. BEHAVIORAL HEALTH CARE: What behavioral health assets can HHS OPDIVS and ESF #8 partners roster and deploy? Data Source: ASPR-REC, OASH-OSG-DCVMRC, ASPR-OEM-NDMS, FOH, DCCPR

9. BEHAVIORAL HEALTH CARE: What behavioral health care response assets have deployed, including assessment teams or subject matter experts to the IRCT, etc.? 
   - What HHS behavioral health assets have been deployed and what is their mission? Data Source: ASPR-REC, ASPR-OEM-NDMS-CMO, FOH, DCCPR, ASPR-ABC
   - What other federal behavioral health assets are providing assistance and what is the nature of the assistance? Data Source: ASPR-REC, ASPR-OEM-NDMS-CMO, ASPR-ABC, DCCPR

10. RESPONDERS: What procedures are in place to monitor the behavioral health and well-being of workers; perform field investigations and studies to address worker health and safety issues; and provide technical assistance and consultation on worker health and safety measures and precautions? Data Source: CDC, DCCPR, ASPR, ASPR-REC

11. SURVEILLANCE: What social indicators can be tracked to assess community distress and/or resilience (e.g., domestic violence shelter populations, school absences, child abuse reports)? Data Source: ASPE, CDC, SAMHSA, ASPR-REC

12. RECOVERY: What is the plan for transitioning behavioral health care back to the state and local communities, affected workplaces, and/or coordinated disaster recovery efforts? Data Source: ASPR-OEM-NDMS, ASPR-Recovery, FOH, SAMHSA, ASPR-REC